

## Top-k retrieval

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And a query: "New York City"

Find the $k$ documents best matching the query.

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Find the $k$ documents best matching the query.

Assume: decomposable scoring function:
Score("New York City") = Score("New") + Score("York")+Score("City").

## Introduction: Postings Lists

Data Structures behind top-k retrieval.
Create posting lists:

```
Doc ID Score
```


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Data Structures behind top-k retrieval.

Create posting lists: | Doc ID | Score |
| :--- | :--- |

Query: New York City

New..

| 9 | 5.2 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |$\quad$| 5 | 4.0 |
| :--- | :--- | :--- | :--- | :--- |
| 7 | 3.3 |$\quad$| 3 | 1.0 |
| :--- | :--- | :--- | :--- |
| 10 | 0.0 |

York..

| 10 | 4.1 |
| :--- | :--- | :--- | :--- |$\quad$| 9 | 3.1 |
| :--- | :--- | :--- |
| 7 | 1.0 |

City...

| 10 | 2.0 |
| :--- | :--- |


| 3 | 1.5 |
| :--- | :--- |


| 7 | 1.0 |
| :--- | :--- |


| 9 | 0.2 |
| :--- | :--- |$\quad$| 5 | 0.1 |
| :--- | :--- |

## Introduction: Postings Lists

(Offline) Sort each list by decreasing score.
Query: New York City


Retrieval: Start with document with highest score in any list.
Look up its score in other lists.

Top: | 9 | $5.2+3.1+0.2=8.5$ |
| :--- | :--- |

## Introduction: Postings Lists

Data Structures behind top-k retrieval:
Arrange each list by decreasing score.
Query: New York City


Continue with next highest score.

Top: \begin{tabular}{|l|l|}
\hline 9 \& 8.5 <br>
\hline

$\quad$ Candidate: 

\hline 10 \& $4.1+2.0+0.0=6.1$ <br>
\hline
\end{tabular}

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Query: New York City

New...
York...
City...


Continue with next highest score.

Top: $\square$ Candidate:

| 5 | $4.0+0.5+0.1=4.6$ |
| :--- | :--- |

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Top: $\square$ Candidate:


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When can we stop?

Top: \begin{tabular}{|l|l|}
\hline 9 \& 8.5 <br>
\hline

$\quad$ Best Possible Remaining: 

\hline$*$ \& $3.3+1.5+1.0=5.8$ <br>
\hline
\end{tabular}

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| :--- | :--- |
|  |  |

Best Possible Remaining:


## Threshold Algorithm

Threshold Algorithm (TA)

- Instance optimal (in \# of accesses) [Fagin et al]
- Performs random accesses

No-Random-Access Algorithm (NRA)

- Similar to TA
- Keep a list of all seen results
- Also instance optimal


## Introducing bi-grams

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Pre-compute posting lists for intersections

- Note, this is not query-result caching

Tradeoffs:

- Space: extra space to store the intersection (though it's smaller)
- Time: Less time upon retrieval


## Bi-grams \& TA

Query: New York City
All aggregations -- 6 lists.
[New] [York] [City] [New York] [New City] [York City]

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| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| York | 10 | 4.1 | 9 | 3.1 | 7 | 1.0 | 5 | 0.5 | 1 | 0.2 |
| City | 10 | 2.0 | 3 | 1.5 | 7 | 1.0 | 9 | 0.2 | 5 | 0.1 |
| NY | 9 | 8.3 | 5 | 4.5 | 7 | 4.3 | 10 | 4.1 | 3 | 1.0 |
| NC | 9 | 5.4 | 7 | 4.3 | 5 | 4.1 | 3 | 2.5 | 10 | 2.0 |
| YC | 10 | 6.1 | 9 | 3.3 | 7 | 2.0 | 3 | 1.5 | 5 | 0.6 |

## Bi-grams \& TA

Query: New York City
All aggregations -- 6 lists.
[New] [York] [City] [New York] [New City] [York City]

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Top: |  | 9 |
| :--- | :--- |

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| YC | 10 | 6.1 | 9 | 3.3 | 7 | 2.0 | 3 | 1.5 | 5 | 0.6 |

Top: | 9 | $8.5 \quad$ Can we stop now? |
| :--- | :--- |

## TA Bounds Informal

| New | 9 |  | 5.2 | 5 | 4.0 | 7 | 3.3 | 3 | 1.0 | 10 | 0.0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| York | 10 |  | 4.1 |  |  | 7 | 1.0 | 5 | 0.5 | 1 | 0.2 |
| City | 10 |  | 2.0 | 3 | 1.5 | 7 | 1.0 |  | 0.2 | 5 | 0.1 |
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| NC |  |  | 5.4 | 7 | 4.3 | 5 | 4.1 | 3 | 2.5 | 10 | 2.0 |
| YC | 1 |  | 6.1 |  | 3.3 | 7 | 2.0 | 3 | 1.5 | 5 | 0.6 |
| Top: | 9 | 8. |  |  |  |  |  |  |  |  |  |

Bounds on any unseen element:

$$
N+Y+C=10.1
$$

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| Top: | 9 | 8.5 |  |  |  |  |  |  |  |  |  |

Bounds on any unseen element:

$$
\begin{aligned}
& N+Y+C=10.1 \\
& N Y+C=6.5
\end{aligned}
$$

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| New | 9 |  | 5.2 | 5 | 4.0 | 7 | 3.3 | 3 | 1.0 | 10 | 0.0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
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Bounds on any unseen element:

$$
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& N+Y+C=10.1 \\
& N Y+C=6.5 \\
& N C+Y=8.4 \\
& Y C+N=10.1
\end{aligned}
$$

## TA Bounds Informal

| New | 9 | 5.2 | 5 | 4.0 | 7 | 3.3 | 3 | 1.0 | 10 | 0.0 |
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| Top: | 9 | 5 |  |  |  |  |  |  |  |  |

Bounds on any unseen element:

$$
\begin{aligned}
& N+Y+C=10.1 \\
& N Y+C=6.5 \\
& N C+Y=8.4 \\
& Y C+N=10.1 \\
& 1 / 2(N Y+Y C+N C)=7.45
\end{aligned}
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## TA Bounds Informal



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$$
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& N Y+C=6.5 \\
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& Y C+N=10.1 \\
& 1 / 2(N Y+Y C+N C)=7.45
\end{aligned}
$$

Thus best element has score $<6.5$. So we are done!

## TA: Bounds Formal

Can we write the bounds on the next element?
$x_{i}$ : score of document x in list i .
$b_{i}$ : bound on the score in list i (score of next unseen document)

Combinations: $b_{i j}$ bound on $x_{i}+x_{j}$

Simple LP for bound on unseen elements:

$$
\begin{array}{r}
\max \sum_{i} x_{i} \\
x_{i} \leq b_{i} \\
x_{i}+x_{j} \leq b_{i j}
\end{array}
$$

In theory: Easy! Just solve an LP every time.
In reality: You're kidding, right?

## Solving the LP

Need to solve the LP:
Same as solving the dual

$$
\begin{array}{r}
\max \sum_{i} x_{i} \\
x_{i} \leq b_{i} \\
x_{i}+x_{j} \leq b_{i j}
\end{array}
$$



$$
\begin{aligned}
& \min \sum y_{i j} b_{i j}+\sum y_{i} b_{i} \\
& y_{i}+\sum_{j} y_{i j} \geq 1 \\
& y_{i}, y_{i j} \geq 0
\end{aligned}
$$

## The dual as a graph

$\min \sum y_{i j} b_{i j}+\sum y_{i} b_{i}$ $\begin{aligned} y_{i}+\sum_{j} y_{i j} & \geq 1 \\ y_{i}, y_{i j} & \geq 0\end{aligned}$

Add one node for each $y_{i}$ with weight $b_{i}$ Add one edge for each $y_{i j}$ with weight $b_{i j}$


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$\min \sum y_{i j} b_{i j}+\sum y_{i} b_{i}$ $\begin{aligned} y_{i}+\sum_{j} y_{i j} & \geq 1 \\ y_{i}, y_{i j} & \geq 0\end{aligned}$

Single Lists


## The dual as a graph



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Goal: select a (fractional) subset of edges and vertices, so that each vertex has (in total) a weight of 1 selected.

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This looks like the classical edge cover problem only with vertices.
We show how to solve this problem by computing min cost matching.

Running time: O(nm)
Checking all combinations: $O(n!)$

## Outline

Introduction to TA
Solving the 'upper bound' problem
Empirical Results

Conclusion

## Empirical Analysis

Datasets:

- Trec (25M pages), 100k queries
- Yahoo! (16M pages), 10k queries (random subset in each)
- result caching enabled

Metrics:

- Number of Random and Sequential Accesses
- Index size

Which bigrams to select?

- Query oblivious manner
- Greedily based on size of intersection versus size of original lists


## Empirical Results

Number of sequential accesses vs.Algorithm


[^0]Total index growth: 25\%

## Empirical Results (2)

Number of sequential accesses vs. Index size


Immediate benefit, but diminishing returns as extra intersections added.

## Results (2)

We prove that in worst case we must examine all of the lists to find the bound. (Otherwise not instance-optimal)

But is this just a theoretical result?
What if you use a simpler heuristics that focus only on intersection lists?

- For $89 \%$ of the queries:
- Average savings 4500 random accesses
- For the $11 \%$ of the remaining queries
- Average cost 127,000 random accesses

So the worst case does occur in practice.

## Conclusions

Give a formal analysis of how to use pre-aggregated posting lists

- Solving an LP is unreasonable

Show empirically that a simple selection rule for intersections gives performance improvements.

Many questions remain:

- Extending results to tri-grams (Solving hyperedge cover)
- Better ways of selecting intersections
- ...



## Thank you


[^0]:    Baseline: traverse full list
    INT: Use intersection lists, but still no Early Termination
    ET: Use early termination, but without intersection lists
    ET + INT: Use both early termination \& intersection lists

