

The rest of the paper is organized as follows. We start with a brief introduction to PHP and show examples of SQL vulnerabilities in web application code (Section 2). We then describe our analysis algorithm in detail and show how we use it to find SQL injection vulnerabilities (Section 3). Section 4 describes the implementation and experimental results and show two case studies of exploitable vulnerabilities in PHP-fusion. Section 5 discusses related work, and Section 6 concludes.

2. BACKGROUND

This section briefly introduces the PHP language and shows examples of SQL injection vulnerabilities in PHP.

PHP was created a decade ago by Rasmus Lerdorf as a simple set of Perl scripts for tracking accesses to his online resume. It has since evolved into one of the most popular server-side scripting languages for building web applications. According to a recent Security Space survey, PHP is installed on 44.6% of Apache web servers [15], adopted by millions of developers, and used or supported by Yahoo, IBM, Oracle, and SAP, among others [13].

Although the PHP language has undergone two major redesigns over the past decade, it retains a Perl-like syntax and dynamic (interpreted) nature, which contributes to its most cited advantage of being simple and flexible.

PHP has a suite of programming constructs and special operations that makes web development easy. We give three examples below:

1. **Natural integration with SQL:** PHP provides nearly native support for database operations. For example, using inline variables in strings, most SQL queries can be concisely expressed with a simple function call

```
$rows=mysql_query("UPDATE users SET
    pass='$pass' WHERE userid='$userid'");
```

Contrast this code with Java, where a database is typically accessed through *prepared statements*: one creates a statement template and fills in the values (along with their types) using *bind variables*:

```
PreparedStatement s = con.prepareStatement
    ("UPDATE users SET pass = ?
    WHERE userid = ?");
s.setString(1, pass); s.setInt(2, userid);
int rows = s.executeUpdate();
```

2. **Dynamic types and implicit casting to and from strings:** PHP, like other scripting languages, has extensive support for string operations and automatic conversions between strings and other types. These features are handy for web applications because strings serve as the common medium between the browser, the web server, and the database backend. For example, we can convert a number into a string without an explicit cast:

```
if ($userid < 0) exit;
$query = "SELECT * from users
    WHERE userid = '$userid'";
```

3. **Variable scoping and the environment:** PHP has a number of mechanisms that minimize redundancy when accessing values from the execution environment. For example, HTTP *get* and *post* requests

are automatically imported into the global name space as hash tables `$_GET` and `$_POST`. To access the “name” field of a submitted form, one can simply use variable `$_GET['name']` directly in the program.

If this still sounds like too much typing, PHP provides an `extract` operation that automatically imports all key-value pairs of a hash table into the current scope. In the example above, one can use `extract($_GET, EXTR_OVERWRITE)` to import data submitted using the HTTP `get` method. To access the “name” field, one now simply types `$name`, which is preferred by some to `$_GET['name']`.

However, these conveniences come with security implications:

1. **SQL injection made easy:** bind variables in Java have the benefit of assuring the programmer that any data passed into a SQL query remains data. The same cannot be said for the PHP example where malformed data from a malicious attacker may change the meaning of a SQL statement and cause unintended operations to the database. These are commonly called *SQL injection* attacks.

In the example above (case 1), suppose `$userid` is controlled by the attacker and has value

```
' OR '1' = '1'
```

The query string becomes

```
UPDATE users SET pass='...'
WHERE userid=' ' OR '1'='1'
```

which has the effect of updating the password for all users in the database.

2. **Unexpected conversions:** Consider the following code:

```
if ($userid == 0) echo $userid;
```

One would expect that if the program prints anything, it should be “0”. Unfortunately, PHP implicitly casts string values into numbers before comparing them with an integer. Non-numerical values (e.g. “abc”) convert to 0 without complaint, so the code above can print anything other than a non-zero number. We can imagine a potential SQL injection vulnerability if `$userid` is subsequently used to construct a SQL query as in the previous case.

3. **Uninitialized variables under user control:** In PHP, uninitialized variable defaults to `null`. Some programs rely on this fact for correct behavior; consider the following code:

```
1 extract($_GET, EXTR_OVERWRITE);
2 for ($i=0;$i<=7;$i++)
3     $new_pass .= chr(rand(97, 122));
4 mysql_query("UPDATE ... $new_pass ...");
```

This program generates a random password and inserts it into the database. However, due to the `extract` operation on line 1, a malicious user can introduce an arbitrary initial value for `$new_pass` by adding an unexpected `new_pass` field into the submitted HTTP form data.

```

CFG := build_control_flow_graph(AST);
foreach (basic_block b in CFG)
  summaries[b] := simulate_block(b);
return make_function_summary(CFG, summaries);

```

Figure 1: Pseudo-code for the analysis of a function.

3. ANALYSIS

Given a PHP source file, our tool carries out static analysis in the following steps:

- We parse the PHP source into abstract syntax trees (ASTs). Our parser is based on the standard open-source implementation of PHP 5.0.5 [12]. Each PHP source file contains a *main* section (referred to as the *main* function hereon although it is not part of any function definition) and zero or more user-defined functions. We store the user-defined functions in the environment, and start the analysis from the main function.
- For each function in the program, the analysis performs a standard conversion from the abstract syntax tree (AST) of the function body into a control flow graph (CFG). The nodes of the CFG are maximal *basic blocks*: single entry, single exit sequences of statements. The edges of the CFG are the jump relationships between blocks. For conditional jumps, the corresponding CFG edge is labeled with the branch predicate.
- Each basic block is simulated using symbolic execution. The goal is to understand the collective effects of statements in a block on the global state of the program, and summarize their effects into a concise *block summary* (which describes, among other things, the set of variables that must be sanitized before entering the block). We describe the simulation algorithm in Section 3.1.
- After computing a summary for each basic block, we use a standard reachability analysis to combine block summaries into a *function summary*. The function summary describes the pre- and post-conditions of a function (e.g., the set of sanitized input variables after calling the current function). We discuss this step in Section 3.2.
- During the analysis of a function, we might encounter calls to other user-defined functions. We discuss modeling function calls, and the order in which functions are analyzed, in Section 3.3.

3.1 Simulating Basic Blocks

3.1.1 Outline

Figure 2 gives pseudo-code outlining the symbolic simulation process. Recall each basic block contains a linear sequence of statements with no jumps or jump targets in the middle. The simulation starts in an *initial state*, which maps each variable x to a symbolic initial value x_0 . It processes each statement in the block in order, updating the simulator state to reflect the effect of that statement. The simulation continues until it encounters any of the following:

```

function simulate_block(BasicBlock b) : BlockSummary
{
  state := init_simulation_state();
  foreach (Statement s in b) {
    state := simulate(s, state);
    if (state.has_returned || state.has_exited)
      break;
  }
  summary := make_block_summary(state);
  return summary;
}

```

Figure 2: Pseudo-code for intra-block simulation.

```

Type ( $\tau$ ) ::= str | bool | int |  $\perp$ 
Const ( $c$ ) ::= string |  $k$  | true | false | null
L-val ( $lv$ ) ::=  $x$  | Arg# $i$  |  $l[e]$ 
Expr ( $e$ ) ::=  $c$  |  $lv$  |  $e$  binop  $e$  | unop  $e$  | ( $\tau$ ) $e$ 
Stmt ( $S$ ) ::=  $lv \leftarrow e$  |  $lv \leftarrow f(e_1, \dots, e_n)$ 
              | return  $e$  | exit | include  $e$ 

binop  $\in$  {+, -, concat, ==, !=, <, >, ...}
unop  $\in$  {-,  $\neg$ }

```

Figure 3: Language Definition

1. the end of the block;
2. a **return** statement. In this case, the current block is marked as a “return” block, and the simulator evaluates and records the return value;
3. an **exit** statement. In this case the current block is marked as an “exit” block;
4. a call to a user-defined function that exits the program. This condition is automatically determined using the function summary of the callee (see Sections 3.2 and 3.3).

Note that in the last case, execution of the program has effectively terminated and therefore we remove any ensuing statements and outgoing CFG edges from the current block.

After a basic block is simulated, we use information contained in the final state of the simulator to summarize the effect of the block into a *block summary*, which we store for use during the intraprocedural analysis (see Section 3.2). The state itself is discarded after simulation.

The following subsections describe the simulation process in detail. We start with a definition of the subset of PHP that we currently model (§3.1.2) and discuss the representation of the simulation state and program values (§3.1.3, §3.1.4) during symbolic execution. Using the value representation, we describe how the analyzer simulates expressions (§3.1.5) and statements (§3.1.6). Finally, we describe how we represent and infer block summaries (§3.1.7).

3.1.2 Language

Figure 3 gives the definition of a small imperative language that captures a subset of PHP constructs that we believe is relevant to SQL injection vulnerabilities. Like PHP, the language is dynamically typed. We model three basic types of PHP values: strings, booleans and integers. In addition, we introduce a special \perp type to describe objects

Value Representation

Loc (l) ::= x | $l[\text{string}]$ | $l[\perp]$
 Init-Values (o) ::= l_0
 Segment (β) ::= string | $\text{contains}(\sigma)$
 String (s) ::= $[\beta_1, \dots, \beta_n]$
 Boolean (b) ::= true | false | $\text{untaint}(\sigma_0, \sigma_1)$
 Loc-set (σ) ::= $\{l_1, \dots, l_n\}$
 Integer (i) ::= k
 Value (v) ::= s | b | i | o | \perp

Simulation State

State (Γ) : Loc \rightarrow Value

(a) Value representation and simulation state.

Locations

$\frac{}{\Gamma \vdash x \xrightarrow{\text{Ly}} x} \text{ var} \qquad \frac{}{\Gamma \vdash \text{Arg}\#n \xrightarrow{\text{Ly}} \text{Arg}\#n} \text{ arg}$
 $\frac{\Gamma \vdash e \xrightarrow{\text{E}} l_0 \quad \Gamma \vdash e' \xrightarrow{\text{E}} v' \quad v'' = \text{cast}(v', \text{str})}{\Gamma \vdash e[e'] \xrightarrow{\text{Ly}} \begin{cases} l[\alpha] & \text{if } v'' = [\alpha] \\ l[\perp] & \text{otherwise} \end{cases}} \text{ dim}$

(b) L-values.

Expressions

Type casts:

$\text{cast}(k, \text{bool}) = \begin{cases} \text{true} & \text{if } k \neq 0 \\ \text{false} & \text{otherwise} \end{cases}$
 $\text{cast}(\text{true}, \text{str}) = [\text{"1"}]$
 $\text{cast}(\text{false}, \text{str}) = []$
 $\text{cast}(v = [\beta_1, \dots, \beta_n], \text{bool})$
 $= \begin{cases} \text{true} & \text{if } (v \neq [\text{"0"}]) \wedge \bigvee_{i=1}^n \neg \text{is_empty}(\beta_i) \\ \text{false} & \text{if } (v = [\text{"0"}]) \vee \bigwedge_{i=1}^n \text{is_empty}(\beta_i) \\ \perp & \text{otherwise} \end{cases}$
 ...

Evaluation Rules:

$\frac{\Gamma \vdash lv \xrightarrow{\text{Ly}} l}{\Gamma \vdash lv \xrightarrow{\text{E}} \Gamma(l)} \text{ L-val}$
 $\frac{\Gamma \vdash e_1 \xrightarrow{\text{E}} v_1 \quad \text{cast}(v_1, \text{str}) = [\beta_1, \dots, \beta_n] \quad \Gamma \vdash e_2 \xrightarrow{\text{E}} v_2 \quad \text{cast}(v_2, \text{str}) = [\beta_{n+1}, \dots, \beta_m]}{\Gamma \vdash e_1 \text{ concat } e_2 \xrightarrow{\text{E}} [\beta_0, \dots, \beta_m]} \text{ concat}$
 $\frac{\Gamma \vdash e \xrightarrow{\text{E}} v \quad \text{cast}(v, \text{bool}) = v'}{\Gamma \vdash \neg e \xrightarrow{\text{E}} \begin{cases} \text{true} & \text{if } v' = \text{false} \\ \text{false} & \text{if } v' = \text{true} \\ \text{untaint}(\sigma_1, \sigma_0) & \text{if } v' = \text{untaint}(\sigma_0, \sigma_1) \\ \perp & \text{otherwise} \end{cases}} \text{ not}$

(c) Expressions.

Figure 4: Intrablock simulation algorithm.

whose static types are undetermined (e.g. input parameters, etc).²

Expressions can be *constants*, *l-values*, *unary* and *binary operations*, and *type casts*. The definition of l-values is worth mentioning because in addition to variables and function parameters, we include a named subscript operation to give limited support to the array and hash table accesses that are used extensively in PHP programs.

A statement can be an *assignment*, *function call*, *return*, *exit*, or *include*. The first four types of statement require no further explanation. The *include* statement is a commonly used feature unique to scripting languages, which allows programmers to dynamically insert code into the program. In our language, *include* evaluates its string argument, and executes the program file designated by the string as if it is inserted at that program point (e.g., it shares the same scope). We describe how we simulate such behavior in Section 3.1.6.

3.1.3 State

Figure 4(a) gives the definition of values and states during simulation. The simulation state maps memory locations to their value representations, where a memory location is either a program variable (e.g. x), or an entry in a hash table accessed via another location (e.g. $x[\text{key}]$).

On entry to the function, each location l is implicitly initialized to a symbolic initial value l_0 , which makes up the initial state of the simulation. The values we represent in the state can be classified into three categories based on type:

Strings: Strings are the most fundamental type in many scripting languages, and precision in modeling strings directly determines the analysis precision. Strings are typically constructed through concatenation. For example, user inputs (via HTTP `get` and `post` methods) are often concatenated with a pre-constructed skeleton to form a SQL query. Similarly, results from the query can be concatenated with HTML templates to form output. Modeling concatenation well enables an analysis to better understand information flow in a script. Thus, our representation of a string is based on the concept of concatenation: string values are represented as an ordered concatenation of string *segments*, which can be one of the following: a string constant, the initial value of a memory location on entry to the current block (l_0), or a string that contains initial values of zero or more elements from a set of memory locations ($\text{contains}(\sigma)$). We use the last representation to model return values from function calls, which may non-deterministically contain a combination of global variables and input parameters. For example, in

```

1 function f($a, $b) {
2   if (...) return $a;
3   else return $b;
4 }
5 $ret = f($x.$y, $z);
  
```

we represent the return value on line 5 as $\text{contains}(\{x, y, z\})$ to model the fact that it may contain any element in the set as a sub-string.

The string representation described above has the following benefits:

²In general, in a dynamically typed language, a more precise static approximation in this case would be a sum (aka. soft typing) [1, 19]. We have not found it necessary to use type sums in this work.

First, we get automatic constant folding for strings within the current block, which is often useful for resolving hash keys and distinguishing between hash references (e.g., in `$key = "key"; return $hash[$key];`).

Second, we can track how the contents of one input variable flow into another by finding occurrences of initial values of the former in the final representation of the latter. For example, in: `$a = $a . $b`, the final representation of `$a` is $[a_0, b_0]$. We know that if either `$a` or `$b` contains unsanitized user input on entry to the current block, so does `$a` upon exit.

Finally, interprocedural dataflow is possible by tracking function return values based on function summaries using `contains(σ)`. We describe this aspect in more detail in Section 3.3.

Booleans: In PHP, a common way to perform input validation is to call a function that returns `true` or `false` depending on whether the input is well-formed or not. For example, the following code sanitizes `$userid`:

```
$ok = is_numeric($userid);
if (!$ok) exit;
```

The value of Boolean variable `$ok` after the call is undetermined, but it is correlated with the validity of `$userid`. This motivates `untaint(σ_0, σ_1)` as a representation for such Booleans: σ_0 (resp. σ_1) represents the set of validated l-values when the Boolean is `false` (resp. `true`). In the example above, `$ok` has representation `untaint({}, {userid})`.

Besides `untaint`, representation for Booleans also include constants (`true` and `false`) and unknown (\perp).

Integers: Integer operations are relatively less emphasized in our simulation. We track integer constants and binary and unary operations between them. We also support type casts from integers to Boolean and string values.

3.1.4 Locations and L-values

In the language definition in Figure 3, hash references may be aliased through assignments and l-values may contain hash accesses with non-constant keys. The same l-value may refer to different memory locations depending on the value of both the host and the key, and therefore, l-values are not suitable as memory locations in the simulation state.

Figure 4(b) gives the rules we use to resolve l-values into memory locations. The `var` and `arg` rules map each program variable and function argument to a memory location identified by its name, and the `dim` rule resolves hash accesses by first evaluating the hash table to a location and then appending the key to form the location for the hash entry.

These rules are designed to work in the presence of simple aliases. Consider the following program:

```
1 $hash = $_POST;
2 $key = 'userid';
3 $userid = $hash[$key];
```

The program first creates an alias (`$hash`) to hash table `$_POST` and then accesses the `userid` entry using that alias. On entry to the block, the initial state maps every location to its initial value:

$$\Gamma = \{\text{hash} \Rightarrow \text{hash}_0, \text{key} \Rightarrow \text{key}_0, \text{_POST} \Rightarrow \text{_POST}_0, \text{_POST}[\text{userid}] \Rightarrow \text{_POST}[\text{userid}]_0\}$$

According to the `var` rule, each variable maps to its own unique location. After the first two assignments, the state is:

$$\Gamma = \{\text{hash} \Rightarrow \text{_POST}_0, \text{key} \Rightarrow [\text{'userid'}], \dots\}$$

We use the `dim` rule to resolve `$hash[$key]` on line 3: `$hash` evaluates to `_POST0`, and `$key` evaluates to constant string `'userid'`. Therefore, the l-value `$hash[$key]` evaluates to location `_POST[userid]`, and thus the analysis assigns the desired value `_POST[userid]0` to `$userid`.

3.1.5 Expressions

We perform abstract evaluation of expressions based on the value representation described above. Because PHP is a dynamically typed language, operands are automatically cast into appropriate types for binary and unary operations in an expression. Figure 4(c) gives a representative subset of cast rules that simulates cast operations in PHP. For example, Boolean value `true`, when used in a string context, evaluates to `"1"`. `false`, on the other hand, is converted to the empty string instead of `"0"`. In cases where exact representation is not possible, the result of the cast is unknown (\perp).

Figure 4(c) also gives three representative rules for evaluating expressions. The first rule handles l-values, and the result is obtained by first resolving the l-value into a memory location, and then looking up the location in the evaluation context (recall that $\Gamma(l) = l_0$ on entry to the block).

The second rule models string concatenation. We first cast the value of both operands into string values, and the result is the concatenation of both.

The final rule handles Boolean negation. The interesting case involves `untaint` values. Recall that `untaint(σ_0, σ_1)` denotes an unknown Boolean value that is `false` (resp. `true`) if l-values in the set σ_0 (resp. σ_1) are sanitized. Given this definition, the negation of `untaint(σ_0, σ_1)` is `untaint(σ_1, σ_0)`.

The analysis of an expression is \perp if we cannot determine a more precise representation, which is a potential source of false negatives.

3.1.6 Statements

We model assignments, function calls, `return`, `exit`, and `include` statements in the program. The assignment rule resolves the left-hand side into a memory location l , and evaluates the right-hand side into a value v . The updated simulation state after the assignment maps l to the new value v :

$$\frac{\Gamma \vdash lv \stackrel{\text{L}_v}{\Rightarrow} l \quad \Gamma \vdash e \stackrel{\text{E}}{\Rightarrow} v}{\Gamma \vdash lv \leftarrow e \stackrel{\text{S}}{\Rightarrow} \Gamma[l \mapsto v]} \text{ assignment}$$

Function calls are similar. The return value of a function call $f(e_1, \dots, e_n)$ is modeled using either `contains(σ)` (if f returns a string) or `untaint(σ_0, σ_1)` (if f returns a Boolean) depending on the inferred summary for f . We defer discussion of the function summaries and the return value representation to Sections 3.2 and 3.3. For the purpose of this section, we use the uninterpreted value $f(v_1, \dots, v_n)$ as a place holder for the actual representation of the return value:

$$\frac{\Gamma \vdash lv \stackrel{\text{L}_v}{\Rightarrow} l \quad \Gamma \vdash e_1 \stackrel{\text{E}}{\Rightarrow} v_1 \dots \Gamma \vdash e_n \stackrel{\text{E}}{\Rightarrow} v_n}{\Gamma \vdash lv \leftarrow f(e_1, \dots, e_n) \stackrel{\text{S}}{\Rightarrow} \Gamma[l \mapsto f(v_1, \dots, v_n)]} \text{ fun}$$

In addition to the return value, certain functions have pre- and post-conditions depending on the operation they perform. Pre- and post-conditions are inferred and stored in the callee's summary, which we describe in detail in Sections 3.2 and 3.3. Here we show two examples to illustrate their effects:

```

1 function validate($x) {
2   if (!is_numeric($x)) exit;
3   return;
4 }
5 function my_query($q) {
6   global $db;
7   mysql_db_query($db, $q);
8 }
9 validate($a.$b);
10 my_query("SELECT . . . WHERE a = '$a' AND c = '$c'");

```

The `validate` function tests whether the argument is a number (thus safe) and aborts if it is not. Therefore, line 9 sanitizes both $\$a$ and $\$b$. We record this fact by first inspecting the value representation of the actual parameter (in this case $[a_0, b_0]$), and remembering the set of non-constant segments that are sanitized.

The second function `my_query` uses the argument as a database query string. To prevent SQL injection attacks, we require that any user input be sanitized before it becomes part of the first parameter. Again, we enforce this requirement by inspecting the value representation of the actual parameter. We record any unsanitized non-constant segments (in this case $\$c$, since $\$a$ is sanitized on line 9) and require they be sanitized as part of the pre-condition for the current block.

Sequences of assignments and function calls are simulated by using the output environment of the previous statement as the input environment of the current statement:

$$\frac{\Gamma \vdash s_1 \xrightarrow{\mathcal{S}} \Gamma' \quad \Gamma' \vdash s_2 \xrightarrow{\mathcal{S}} \Gamma''}{\Gamma \vdash (s_1; s_2) \xrightarrow{\mathcal{S}} \Gamma''} \text{seq}$$

The final simulation state is the output state of the final statement.

The `return` and `exit` statements terminate control flow³ and require special treatment. For a `return`, we evaluate the return value and use it in calculating the function summary. In case of an `exit` statement, we mark the current block as an *exit block*.

Finally, `include` statements are a commonly used feature unique to scripting languages allowing programmers to dynamically insert code and function definitions from another script. In PHP, the included code inherits the variable scope at the point of the `include` statement. It may introduce new variables and function definitions, and change or sanitize existing variables before the next statement in the block is executed.

We process `include` statements by first parsing the included file, and adding any new function definitions to the environment. We then splice the control flow graph of the main function at the current program point by a) removing the `include` statement, b) breaking the current basic block into two at that point, c) linking the first half of the current block to the start of the main function, and all return blocks (those containing a return statement) in the included CFG to the second half, and d) replacing the return statements in the included script with assignments to reflect the fact that control flow resumes in the current script.

3.1.7 Block summary

³So do function calls that exits the program, in which case we remove any ensuing statements and outgoing edges from the current CFG block. See Section 3.3.

The final step for the symbolic simulator is to characterize the behavior of a CFG block into a concise summary. A block summary is represented as a six-tuple $\langle \mathcal{E}, \mathcal{D}, \mathcal{F}, \mathcal{T}, \mathcal{R}, \mathcal{U} \rangle$:

- **Error set (\mathcal{E}):** the set of input variables that must be sanitized before entering the current block. These are accumulated during simulation of function calls that require sanitized input.
- **Definitions (\mathcal{D}):** the set of memory locations defined in the current block. For example, in

$$\$a = \$a.\$b; \$c = 123;$$
 we have $\mathcal{D} = \{a, c\}$.
- **Value flow (\mathcal{F}):** the set of pairs of locations (l_1, l_2) where the string value of l_1 on entry becomes a substring of l_2 on exit. In the example above, $\mathcal{F} = \{(a, a), (b, a)\}$.
- **Termination predicate (\mathcal{T}):** true if the current block contains an `exit` statement, or if it calls a function that causes the program to terminate.
- **Return value (\mathcal{R}):** records the representation for the return value if any, undefined otherwise. Note that if the current block has no successors, either \mathcal{R} has a value or \mathcal{T} is true.
- **Untaint set (\mathcal{U}):** for each successor of the current CFG block, we compute the set of locations that are sanitized if execution continues onto that block. Sanitization can occur via function calls, casting to safe types (e.g., `int`, etc), regular expression matching, and other tests. The untaint set for different successors might differ depending on the value of branch predicates. We show an example below.

```

validate($a);
$b = (int) $c;
if (is_numeric($d))
  ...

```

As mentioned earlier, `validate` exits if $\$a$ is unsafe. Casting to integer also returns a safe result. Therefore, the untaint set is $\{a, b, d\}$ for the true branch, and $\{a, b\}$ for the false branch.

3.2 Intraprocedural Analysis

Based on block summaries computed in the previous step, the intraprocedural analysis computes the following summary $\langle \mathcal{E}, \mathcal{R}, \mathcal{S}, \mathcal{X} \rangle$ for each function:

1. **Error set (\mathcal{E}):** the set of memory locations (variables, parameters, and hash accesses) whose value may flow into a database query, and therefore must be sanitized before invoking the current function. For the main function, the error set must not include any user-defined variables (e.g. `$_GET[...]` or `$_POST[...]`)—the analysis emits an error message for each such violation.

We compute \mathcal{E} by a backwards reachability analysis that propagates the error set of each block (using the $\mathcal{E}, \mathcal{D}, \mathcal{F}$, and \mathcal{U} components in the block summaries) to the start block of the function.

2. **Return set (\mathcal{R}):** the set of parameters or global variables whose value may be a substring of the return value of the function. \mathcal{R} is only computed for functions that may return string values. For example, in the following code, the return set includes both function arguments and the global variable `$table` (i.e. $\mathcal{R} = \{\text{table}, \text{Arg\#1}, \text{Arg\#2}\}$).

```
function make_query($user, $pass) {
  global $table;
  return "SELECT * from $table "
    "where user = $user and pass = $pass";
}
```

We compute the function return set by using a forward reachability analysis that expresses each return value (recorded in the block summaries as \mathcal{R}) as a set of function parameters and global variables.

3. **Sanitized values (\mathcal{S}):** the set of parameters or global variables that are sanitized on function exit. We compute the set by using a forward reachability analysis to determine the set of sanitized inputs at each return block, and we take the intersection of those sets to arrive at the final result.

If the current function returns a Boolean value as its result, we distinguish the sanitized value set when the result is true versus when it is false (mirroring the untaint representation for Boolean values above). The following example motivates this distinction:

```
function is_valid($x) {
  if (is_numeric($x)) return true;
  return false;
}
```

The parameter is sanitized if the function returns true, and the return value is likely to be used by the caller to determine the validity of user input. In the example above,

$$\mathcal{S} = (\text{false} \Rightarrow \{\}, \text{true} \Rightarrow \{\text{Arg\#1}\})$$

For comparison, the `validate` function defined previously has $\mathcal{S} = (* \Rightarrow \{\text{Arg\#1}\})$. In the next section, we describe how we make use of this information in the caller.

4. **Program Exit (\mathcal{X}):** a Boolean which indicates whether the current function terminates program execution on all paths. Note that control flow can leave a function either by returning to the caller or by terminating the program. We compute the exit predicate by enumerating over all CFG blocks that have no successors, and identify them as either return blocks or exit blocks (the \mathcal{T} and \mathcal{R} component in the block summary). If there are no return blocks in the CFG, the current function is an exit function.

The dataflow algorithms used in deriving these facts are fairly standard fix-point computations. We omit the details for brevity.

3.3 Interprocedural Analysis

This section describes how we conduct interprocedural analysis using summaries computed in the previous step. Assuming f has summary $\langle \mathcal{E}, \mathcal{R}, \mathcal{S}, \mathcal{X} \rangle$, we process a function call $f(e_1, \dots, e_n)$ during intrablock simulation as follows:

1. **Pre-conditions:** We use the error set (\mathcal{E}) in the function summary to identify the set of parameters and global variables that must be sanitized before calling this function. We substitute actual parameters for formal parameters in \mathcal{E} and record any unsanitized non-constant segments of strings in the error set as sanitization pre-condition for the current block.

2. **Exit condition:** If the callee is marked as an exit function (i.e. \mathcal{X} is true), we remove any statements that follow the call and delete all outgoing edges from the current block. We further mark the current block as an exit block.

3. **Post-conditions:** If the function unconditionally sanitizes a set of input parameters and global variables, we mark this set of values as safe in the simulation state after substituting actual parameters for formal parameters.

If sanitization is conditional on the return value (e.g., the `is_valid` function defined above), we record the intersection of its two component sets as being unconditionally sanitized (i.e., $\sigma_0 \cap \sigma_1$ if the untaint set is $(\text{false} \Rightarrow \sigma_0, \text{true} \Rightarrow \sigma_1)$).

4. **Return value:** If the function returns a Boolean value and it conditionally sanitizes a set of input parameters and global variables, we use the untaint representation to model that correlation:

$$\frac{\Gamma \vdash lv \stackrel{\text{L}_v}{\Rightarrow} l \quad \Gamma \vdash e_1 \stackrel{\mathbb{E}}{\Rightarrow} v_1 \dots \Gamma \vdash e_n \stackrel{\mathbb{E}}{\Rightarrow} v_n \quad \begin{array}{l} \text{Summary}(f) = \langle \mathcal{E}, \mathcal{R}, \mathcal{S}, \mathcal{X} \rangle \\ \mathcal{S} = (\text{false} \Rightarrow \sigma_0, \text{true} \Rightarrow \sigma_1) \quad \sigma_* = \sigma_0 \cap \sigma_1 \\ \sigma'_0 = \text{subst}_{\bar{v}}(\sigma_0 - \sigma_*) \quad \sigma'_1 = \text{subst}_{\bar{v}}(\sigma_1 - \sigma_*) \end{array}}{\Gamma \vdash lv \leftarrow f(e_1, \dots, e_n) \stackrel{\mathbb{S}}{\Rightarrow} \Gamma[l \mapsto \text{untaint}(\sigma'_0, \sigma'_1)]} \text{fun-bool}$$

In the rule above, $\text{subst}_{\bar{v}}(\sigma)$ substitutes actual parameters (v_i) for formal parameters in σ .

If the callee returns a string value, we use the return set component of the function summary (\mathcal{R}) to determine the set of input parameters and global variables that might become a substring of the return value:

$$\frac{\Gamma \vdash lv \stackrel{\text{L}_v}{\Rightarrow} l \quad \Gamma \vdash e_1 \stackrel{\mathbb{E}}{\Rightarrow} v_1 \dots \Gamma \vdash e_n \stackrel{\mathbb{E}}{\Rightarrow} v_n \quad \begin{array}{l} \text{Summary}(f) = \langle \mathcal{E}, \mathcal{R}, \mathcal{S}, \mathcal{X} \rangle \quad \sigma' = \text{subst}_{\bar{v}}(\mathcal{R}) \end{array}}{\Gamma \vdash lv \leftarrow f(e_1, \dots, e_n) \stackrel{\mathbb{S}}{\Rightarrow} \Gamma[l \mapsto \text{contains}(\sigma')]} \text{fun-str}$$

Since we require the summary information of a function before we can analyze its callers, the order in which functions are analyzed is important. Due to the dynamic nature of PHP (e.g., `include` statements), we analyze functions on demand—a function f is analyzed and summarized when we first encounter a call to f . The summary is then memoized to avoid redundant analysis. Recursive function calls are rare in PHP programs. If we encounter a cycle during the analysis, our current implementation uses a dummy “no-op” summary as a model for the second invocation.

4. EXPERIMENTAL RESULTS

The analysis described in Section 3 has been implemented as two separate parts: a frontend based on the open source PHP 5.0.5 distribution that parses the source files into abstract syntax trees and a backend written in O’Caml that

reads the ASTs into memory and carries out the analysis. This separation ensures maximum compatibility while minimizing dependence on the PHP implementation.

The decision to use different levels of abstraction in the intrablock, intraprocedural, and interprocedural levels enabled us to fine tune the amount of information we retain at one level independent of the algorithm used in another and allowed us to quickly build a usable tool. The checker is largely automatic and requires little human intervention for use. We seed the checker with a small set of query functions (e.g. `mysql_query`) and sanitization operations (e.g. `is_numeric`). The checker infers the rest automatically.

Regular expression matching presents a challenge to automation. Regular expressions are used for a variety of purposes including, but not limited to, input validation. Some regular expressions match well-formed input while others detect malformed input; assuming one way or the other results in either false positives or false negatives. Our solution is to maintain a database of previously seen regular expressions and their effects, if any. Previously unseen regular expressions are assumed by default to have no sanitization effects, so as not to miss any errors due to incorrect judgment. To make it easy for the user to specify the sanitization effects of regular expressions, the checker has an interactive mode where the user is prompted when the analysis encounters a previously unseen regular expression and the user’s answers are recorded for future reference. Practically, we found this approach to be very effective and it helped us find at least two vulnerabilities caused by overly lenient regular expressions being used for sanitization.⁴

The checker detects errors by using information from the summary of the main function—the checker marks all variables that are required to be sanitized on entry as potential security vulnerabilities. From the checker’s perspective, these variables are defined in the environment and used to construct SQL queries without being sanitized. In reality, however, these variables are either defined by the runtime environment or by some language constructs that the checker does not fully understand (e.g., the `extract` operation in PHP which we describe in the case study below). The tool emits an *error* message if the variable is known to be easily controlled by the user (e.g. `$_GET[‘...’]`, `$_POST[‘...’]`, `$_COOKIE[‘...’]`, etc). For others, the checker emits a *warning*.

We conducted our experiments on the latest versions of six open source PHP code bases: `e107 0.7`, `Utopia News Pro 1.1.4`, `mybloggie 2.1.3beta`, `DCP Portal v6.1.1`, `PHP Webthings 1.4patched`, and `PHP fusion 6.00.204`. Table 1 summarizes our findings for the first five. Our checker emitted a total of 99 error messages for the first five applications, where unsanitized user input (from `$_GET`, `$_POST`, etc) may flow into SQL queries. We manually inspected the error reports and believe all 99 represent real vulnerabilities. We have notified the developers about these errors and will publish security advisories once the errors have been fixed. We have not inspected warning messages—unsanitized variables of unresolved origin (e.g. from database queries, configuration files, etc) that are subsequently used in SQL queries

⁴For example, Utopia News Pro misused “[0-9]+” to validate some user input. This regular expression only checks the *existence* of a number, instead of ensuring that the input *is* actually a number. The correct regular expression in this case is “~[0-9]+\$”.

	Err Msgs	Bugs (FP)	Warn
e107	16	16 (0)	23
News Pro	8	8 (0)	8
myBloggie	16	16 (0)	23
DCP Portal	39	39 (0)	55
PHP Webthings	20	20 (0)	6
Total	99	99 (0)	115

Table 1: Summary of experiments. Err Msgs: number of reported errors. Bugs: number of confirmed bugs from error reports. FP: number of false positives. Warn: number of unique warning messages for variables of unresolved origin (uninspected).

due to the high likelihood of false positives.

PHP-fusion is different from the other five code bases because it does not directly access HTTP form data from input hash tables such as `$_GET` and `$_POST`. Instead it uses the `extract` operation to automatically import such information into the current variable scope. We describe our findings for PHP-fusion in the following subsection.

4.1 Case Study: Two Exploitable SQL Injection Attacks in PHP-fusion

In this section, we show two case studies of exploitable SQL injection vulnerabilities in PHP-fusion detected by our tool. PHP-fusion is an open-source content management system (CMS) built on PHP and MySQL. Excluding locale specific customization modules, it consists of over 16,000 lines of PHP code and has a wide user-base because of its speed, customizability and rich features. Browsing through the code, it is obvious that the author programmed with security in mind and has taken extra care in sanitizing input before use in query strings.

Our experiments were conducted on the then latest 6.00.204 version of the software. Unlike other code bases we have examined, PHP-fusion uses the `extract` operation to import user input into the current scope. As an example, `extract($_POST, EXTR_0` has the effect of introducing one variable for each key in the `$_POST` hash table to the current scope, and assigning the value of `$_POST[key]` to that variable. This feature reduces typing, but introduces confusion to the checker and security vulnerabilities to the software—both of the exploits we constructed involve use of uninitialized variables whose values can be manipulated by the user because of the `extract` operation.

Since PHP-fusion does not directly read user input from input hashes such as `$_GET` or `$_POST`, there are no direct error messages generated by our tool. Instead we inspect warnings (recall the discussion about errors and warnings above), which correspond to security sensitive variables whose definition is unresolved by the checker (e.g., introduced via the `extract` operation, or read from configuration files).

We ran our checker on all top level scripts in PHP-fusion. The tool generated 22 unique warnings, a majority of which relate to configuration variables that are used in the construction of a large number of queries⁵. After filtering those out, we arrive at 7 warnings in 4 different files.

⁵Data base configuration variables such as `$db_prefix` accounted for 3 false positives, and information derived from the database queries and configuration settings (e.g. locale settings) caused the remaining 12.

We believe all but one of the 7 warnings may result in exploitable security vulnerabilities. The lone false positive arises from an unanticipated sanitization:

```
/* php-files/lostpassword.php */
if (!preg_match("/^[0-9a-z]{32}$/", $account))
    $error = 1;
if (!$error) { /* database access using $account */ }
if ($error) redirect("index.php");
```

Instead of terminating the program immediately based on the result from `preg_match`, the program sets the `$error` flag to true and delays error handling, which is in general not a good practice. This idiom can be handled by adding slightly more information in the block summary.

We investigated the first two of the remaining warnings for potential exploits, and confirmed that both are indeed exploitable on a test installation. Unsurprisingly both errors are made possible because of the extract operation. We explain these two errors in detail below.

1) Vulnerability in script for recovering lost password. This is a remotely exploitable vulnerability that allows any registered user to elevate his privileges via a carefully constructed URL. We show the relevant code below:

```
1 /* php-files/lostpassword.php */
2 for ($i=0;$i<=7;$i++)
3     $new_pass .= chr(rand(97, 122));
4 ...
5 $result = dbquery("UPDATE ".$db_prefix."users
6     SET user_password=md5('$new_pass')
7     WHERE user_id='".$data['user_id']."'");
```

Our tool issued a warning for `$new_pass`, which is uninitialized on entry and thus defaults to the empty string during normal execution. The script proceeds to add seven randomly generated letters to `$new_pass` (lines 2-3), and uses that as the new password for the user (lines 5-7). The SQL request under normal execution takes the following form:

```
UPDATE users SET user_password=md5('??????')
WHERE user_id='userid'
```

However, a malicious user can simply add a `new_pass` field to his HTTP request by appending, for example, the following string to the URL for the password reminder site:

```
&new_pass=abc%27%29%2cuser_level=%27103%27%2cuser_aim=%28%27
The extract operation described above will magically introduce $new_pass in the current variable scope with the following initial value:
```

```
abc', user_level = ' 103', user_aim = ('
```

The SQL request is now constructed as:

```
UPDATE users SET user_password=md5('abc'),
    user_level=' 103', user_aim=('??????')
WHERE user_id='userid'
```

Here the password is set to “abc”, and the user privilege is elevated to 103, which means “Super Administrator.” The newly promoted user is now free to manipulate any content on the website.

2) Vulnerability in the messaging sub-system. This vulnerability exploits another use of potentially uninitialized variable `$result_where_message_id` in the messaging sub-system. We show the relevant code in Figure 5.

Our tool warns about unsanitized use of `$result_where_message_id`. On normal input, the program initializes `$result_where_message_id` using a cascading if statement. As shown in the code, the author is very careful about sanitizing values that are used to construct `$result_where_message_id`. However, the cascading

```
1 if (isset($msg_view)) {
2     if (!isNum($msg_view)) fallback("messages.php");
3     $result_where_message_id="message_id=".$msg_view;
4 } elseif (isset($msg_reply)) {
5     if (!isNum($msg_reply)) fallback("messages.php");
6     $result_where_message_id="message_id=".$msg_reply;
7 }
8 ... /* ~100 lines later */ ...
9 } elseif (isset($_POST['btn_delete']) ||
10  isset($msg_delete)) { // delete message
11     $result = dbquery("DELETE FROM ".$db_prefix.
12         "messages WHERE ".$result_where_message_id. // BUG
13         " AND ".$result_where_message_to);
```

Figure 5: An exploitable vulnerability in PHP-fusion 6.00.204.

sequence of if statements does not have a fall back branch. And therefore, `$result_where_message_id` might be uninitialized on malformed input. We exploit this fact, and append `&request_where_message_id=1=1/*`

The query string submitted on line 11-13 thus becomes:

```
DELETE FROM messages WHERE 1=1 /* AND ...
```

Whatever follows “/*” is treated as comments in MySQL and thus ignored. The result is loss of all private messages in the system. Due to the complex control and data flow, this error is unlikely to be discovered via code review or testing.

We reported both exploits to the author of PHP-fusion, who immediately fixed these vulnerabilities and released a new version of the software.

5. RELATED WORK

5.1 Static techniques

WebSSARI is a type qualifier based analyzer for PHP [7]. It uses a standard intraprocedural tainting analysis to find cases where user controlled values flow into functions that require trusted input (*sensitive functions*). The analysis relies on three user written “prelude” files to provide information regarding: 1) the set of *all* sensitive functions—those require sanitized input; 2) the set of *all* untainting operations; and 3) the set of untrusted input variables. Incomplete specification will result in both false positives and false negatives.

The key limitation of WebSSARI is its analysis power: 1) the analysis is intraprocedural and does not infer function pre- and post-conditions, thus requiring extensive annotations to use; 2) it does not model predicates and conditional branches, which is a key mechanism for testing and sanitizing input variables in PHP; and 3) it uses a generic type based algorithm which does not model dynamic features in scripting languages like PHP. For example, dynamic typing may introduce subtle errors that WebSSARI misses. The `include` statement dynamically inserts code to the program which may contain, induce, or prevent errors.

Livshits and Lam [8] developed a static detector for security vulnerabilities (e.g. SQL injection, cross site scripting, etc) in Java applications. The algorithm uses a BDD-based context-sensitive pointer analysis [18] to find potential flow from untrusted sources (e.g. user input) to trusting sinks (e.g. SQL queries). One limitation of this analysis is that

it does not model control flow in the program and therefore may misflag sanitized input that subsequently flows into SQL queries. Sanitization with conditional branching is common in PHP programs, so techniques that ignore control flow are likely to cause large numbers of false positives on such code bases.

Other tainting analysis that are proven effective on C code include CQual [4], MECA [20], and MC [6, 2]. Collectively they have found hundreds of previously unknown security errors in the Linux Kernel.

Christensen *et. al.* [3] developed a string analysis that approximates string values in a Java program using a context free grammar. The result is then widened into a regular language and can be checked against a specification of expected output to determine syntactic correctness. However, syntactic correctness does not entail safety, and therefore it is unclear how one can adapt this work to the detection of SQL injection vulnerabilities. Minamide [9] extended the approach and constructed a string analyzer for PHP. It cited SQL injection detection as a possible application. However, the analyzer models a small set of string operations in PHP (e.g. concatenation, string matching and replacement), and ignores more complex features such as dynamic typing, casting, and predicates. Furthermore, the framework only seems to model sanitization with string replacement, which represents a small subset of all sanitization in real code. Therefore, accurately pinpointing injection attacks remains challenging.

Gould *et. al.* [5] combines string analysis with type checking to ensure not only syntactic correctness but also type correctness for SQL queries constructed by Java programs. However, type correctness does not guarantee safety, which is the focus of our analysis.

5.2 Dynamic Techniques

Scott and Sharp [14] proposed an application-level firewall to centralize sanitization of client input. Firewall products are also commercially available from companies such as Net-Continuum, Imperva, Watchfire, etc. Some of these firewalls detect and guard against previously known attack patterns, while others maintain a white list of valid inputs. The main limitation here is that the former is susceptible to both false positives and false negatives, and the latter is reliant on correct specifications, which are difficult to come by.

The Perl taint mode [11] enables a set of special security checks during execution in an unsafe environment. It prevents the use of untrusted data (e.g. all command line arguments, environment variables, data read from files, etc) in operations that require trusted input (e.g. any command that invokes a sub-shell). Nguyen-Tuong [10] proposed a taint mode for PHP. It employs a set of heuristics to determine whether a query is safe when it contains fragments of user input. The limitation of a heuristics based approach is that it is susceptible to both false positives and false negatives, which presents an obstacle for deployment in a production environment.

In general, the advantage of a static analysis is that it finds the root cause of a security vulnerability and prevents the attack before the application is deployed.

6. CONCLUSION

We have presented a static analysis algorithm for detecting security vulnerabilities in PHP. Our analysis employs a

novel three-tier architecture that enables us to handle dynamic features unique to scripting languages such as dynamic typing and code inclusion. We demonstrated the effectiveness of our approach by running our tool on six popular open source PHP code bases and finding 105 previously unknown security vulnerabilities, most of which we believe are remotely exploitable.

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