CS156: The Calculus of Computation

Zohar Manna Winter 2010

It is reasonable to hope that the relationship between computation and mathematical logic will be as fruitful in the next century as that between analysis and physics in the last. The development of this relationship demands a concern for both applications and mathematical elegance.

John McCarthy

A Basis for a Mathematical Theory of Computation, 1963

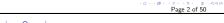
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THE CALCULUS OF COMPUTATION:
Decision Procedures with
Applications to Verification

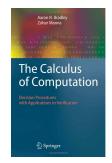
by Aaron Bradley Zohar Manna

Springer 2007



Topics: Overview

- 1. First-Order logic
- 2. Specification and verification
- 3. Satisfiability decision procedures



1. Propositional Logic 2. First-Order Logic 3 First-Order Theories 4 Induction 5. Program Correctness: Mechanics Inductive assertion method, Ranking function method (B) (B) (2) (2) (2) (0) Page 5 of 50

Part I: Foundations

for

11. Arrays

Motivation I

Part II: Decision Procedures

7. Quantified Linear Arithmetic

8. Quantifier-Free Linear Arithmetic

10. Combining Decision Procedures

Linear programming for rationals

Nelson-Oppen combination method

More than quantifier-free fragment

Quantifier elimination for integers and rationals

9. Quantifier-Free Equality and Data Structures

Decision Procedures are algorithms to decide formulae.

 $\emptyset \ \ell \leq i \leq u \land (rv \leftrightarrow \exists j. \ \ell \leq j \leq i \land a[j] = e)$

These formulae can arise in software verification

Consider the following program:

in hardware verification

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Motivation

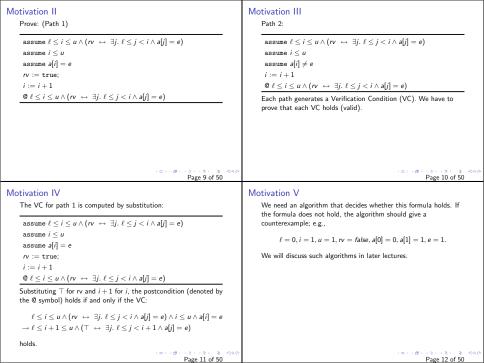
(int $i := \ell$; i < u; i := i + 1) { if (a[i] = e) rv := true;

How can we decide whether the formula is a loop invariant?

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Chapter 1: Propositional Logic (PL)

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Example: formula $F: (P \land Q) \rightarrow (\top \lor \neg Q)$

atoms: P, Q, \top

literals: $P, Q, \top, \neg Q$

subformulae: $P, Q, \top, \neg Q, P \land Q, \top \lor \neg Q, F$ abbreviation $F: P \land Q \rightarrow \top \lor \neg Q$

PL Semantics (meaning of PL) Formula F + Interpretation I = Truth value Interpretation

Propositional Logic (PL) PL Syntax

Atom

Literal

Formula

 $I: \{P \mapsto \mathsf{true}, Q \mapsto \mathsf{false}, \cdots \}$

(true, false)

truth symbols \top ("true") and \bot ("false")

atom α or its negation $\neg \alpha$

"not"

"and"

 $F_1 \leftrightarrow F_2$ "if and only if"

literal or application of a logical connective to formulae F, F1, F2

 $F_1 \wedge F_2$

 $F_1 \vee F_2$ "or"

 $F_1 \rightarrow F_2$ "implies"

propositional variables P. Q. R. P. Q. R. 1....

(negation)

(conjunction)

(disjunction)

(implication)

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(iff)

Evaluation of F under I:

where 0 corresponds to value false true $F_1 \mid F_2 \mid F_1 \land F_2 \mid F_1 \lor F_2 \mid F_1 \rightarrow F_2 \mid F_1 \leftrightarrow F_2$

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Example: $F: P \land Q \rightarrow P \lor \neg Q$ $I: \{P \mapsto \text{true}, Q \mapsto \text{false}\}$ i.e., I[P] = true, I[Q] = false $Q \mid \neg Q \mid P \land Q \mid P \lor \neg Q \mid F$ 0 = false1 = trueF evaluates to true under I; i.e., I[F] = true. 101 (B) (2) (2) 2 000 Page 17 of 50 Example of Inductive Reasoning: $F: P \wedge Q \rightarrow P \vee \neg Q$ $I: \{P \mapsto \mathsf{true}, Q \mapsto \mathsf{false}\}$

1. $I \models P$ since I[P] = true2. $I \not\models Q$ since I[Q] = false

3. $I \models \neg Q$ by 2 and \neg 4. $I \not\models P \land Q$ by 2 and \land 5. $I \models P \lor \neg Q$ by 1 and \lor 6. $I \models F$ by 4 and \rightarrow

Thus, F is true under I. Note: steps 1, 3, and 5 are nonessential.

Satisfiability and Validity

F satisfiable iff there exists an interpretation I such that
$$I \models I$$

F valid iff for all interpretations I, $I \models F$.

[F is valid iff $\neg F$ is unsatisfiable]

Goal: devise an algorithm to decide validity or unsatisfiability of formula F.

Note:

 $I \not\models F_1 \to F_2$ iff $I \models F_1$ and $I \not\models F_2$. Page 18 of 50

F satisfiable iff there exists an interpretation I such that $I \models F$.

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 $I \models F_1 \rightarrow F_2$ iff $I \not\models F_1$ or $I \models F_2$.

or $I \not\models F_1$ and $I \not\models F_2$

F is valid iff ¬F is unsatisfiable

false

 $I \models F_1 \leftrightarrow F_2$ iff $I \models F_1$ and $I \models F_2$.

 $I \models F_1 \rightarrow F_2$ iff $I \models F_1$ implies $I \models F_2$

Why?

(B) (B) (3) (3) (3) 3 900

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 $I \not\models F_1 \lor F_2$ iff $I \not\models F_1$ and $I \not\models F_2$.

Inductive Definition of PL's Semantics

 $\overline{I \models} \top \qquad I \not\models \bot$

 $I \not\models P$ iff I[P] = false

1 ⊭ F

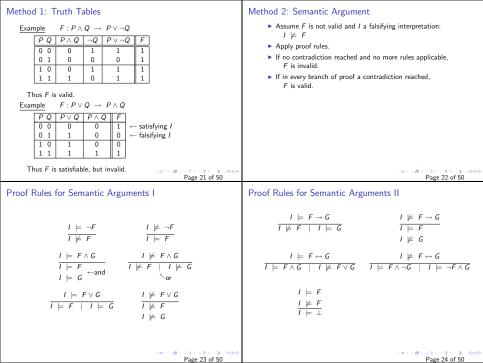
Inductive Case:

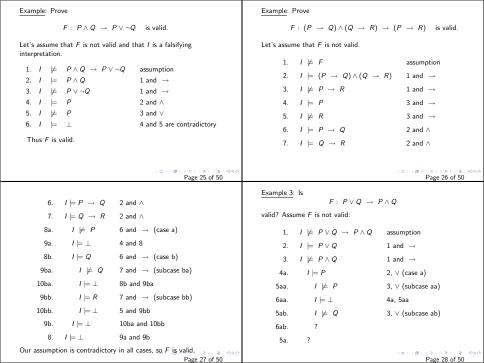
Base Case:

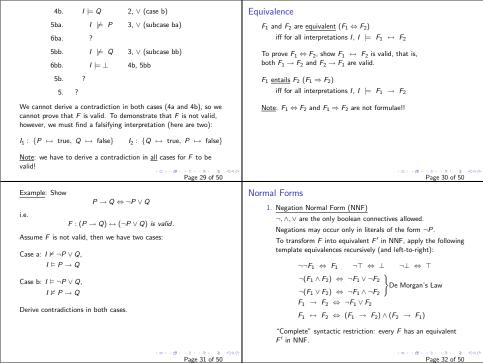
 $I \models F$ if F evaluates to true under I

 $I \models P$ iff I[P] = true; i.e., P is true under I

iff $I \not\models F$ $I \models F_1 \land F_2$ iff $I \models F_1$ and $I \models F_2$ $I \models F_1 \lor F_2$ iff $I \models F_1$ or $I \models F_2$ (or both)







$F : \neg (P \rightarrow \neg (P \land Q))$ to NNF. $F': \neg(\neg P \lor \neg(P \land Q))$ $F'': \neg \neg P \land \neg \neg (P \land Q)$ De Morgan's Law $F''' \cdot P \wedge P \wedge Q$ F''' is equivalent to $F(F''' \Leftrightarrow F)$ and is in NNF. 101 (B) (2) (2) 2 000 Page 33 of 50 Example: Convert $F: (Q_1 \vee \neg \neg Q_2) \wedge (\neg R_1 \rightarrow R_2)$ into equivalent DNF $F': (Q_1 \vee Q_2) \wedge (R_1 \vee R_2)$ in NNF $F'': (Q_1 \wedge (R_1 \vee R_2)) \vee (Q_2 \wedge (R_1 \vee R_2))$ dist $F''': (Q_1 \wedge R_1) \vee (Q_1 \wedge R_2) \vee (Q_2 \wedge R_1) \vee (Q_2 \wedge R_2)$ F''' is equivalent to $F(F''' \Leftrightarrow F)$ and is in DNF.

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Example: Convert

 $(F_1 \vee F_2) \wedge F_3 \quad \Leftrightarrow \quad (F_1 \wedge F_3) \vee (F_2 \wedge F_3) \\ F_1 \wedge (F_2 \vee F_3) \quad \Leftrightarrow \quad (F_1 \wedge F_2) \vee (F_1 \wedge F_3) \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially as the distributivity} \\ \underline{Note} : \text{formulae can grow exponentially}$

use the following template equivalences (left-to-right):

 $\bigvee \bigwedge \ell_{i,j}$ for literals $\ell_{i,j}$

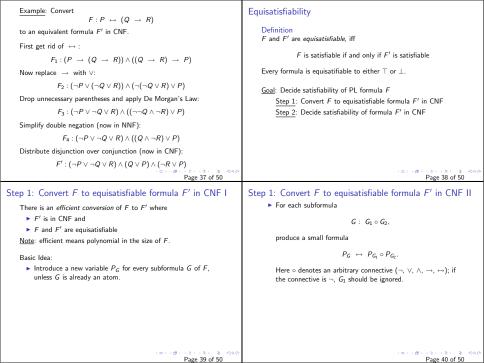
use the following template equivalences (left-to-right):

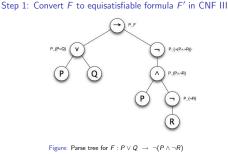
2. Disjunctive Normal Form (DNF)

Disjunction of conjunctions of literals

To convert F into equivalent F' in DNF.

transform F into NNF and then





Example: CNF I

$$F:\ P\vee Q\ \rightarrow\ P\wedge \neg R$$
 to an equisatisfiable formula in CNF.

Introduce new variables: P_F , $P_{P \lor Q}$, $P_{P \land \neg R}$, $P_{\neg R}$.

Convert

Create new formulae and convert them to equivalent formulae in

CNF separately: ► $F_1 = CNF(P_F \leftrightarrow (P_{P \lor O} \rightarrow P_{P \land \neg R}))$

$$(\neg P_F \lor \neg P_{P \lor Q} \lor P_{P \land \neg R}).$$

$$(\neg P_F \lor \neg P_{P \lor Q} \lor P_{P \land \neg R}) \land (P_F \lor P_{P \lor Q}) \land (P_F \lor \neg P_{P \land \neg R})$$

$$\blacktriangleright F_2 = \mathsf{CNF}(P_{P \lor Q} \leftrightarrow P \lor Q).$$

 $(\neg P_{P \lor Q} \lor P \lor Q) \land (P_{P \lor Q} \lor \neg P) \land (P_{P \lor Q} \lor \neg Q)$

 $P_F \wedge \bigwedge_{G \subseteq S_-} CNF(P_G \leftrightarrow P_{G_1} \circ P_{G_2})$ is equisatisfiable to F. (Why?)

equivalent CNF formula

Step 1: Convert F to equisatisfiable formula F' in CNF IV Convert each of these (small) formulae separately to an

 $CNF(P_G \leftrightarrow P_{G_1} \circ P_{G_2})$.

Let S_F be the set of all non-atom subformulae G of F (including F

The number of subformulae is linear in the size of F. The time to convert one small formula is constant!

itself). The formula

Example: CNF II
$$F_3 = \text{CNF}(P_{P \land \neg R} \leftrightarrow P \land P_{\neg R})$$

$$F_3 = CNF(P_{P \wedge})$$

$$(\neg P_{P \wedge \neg R} \vee P) \wedge (\neg P_{P \wedge \neg R} \vee P_{\neg R}) \wedge (P_{P \wedge \neg R} \vee \neg P \vee \neg P_{\neg R})$$

$$(\neg P_{P \land \neg R} \lor P) \land (\neg P_{P \land \neg R} \lor \\$$

$$\blacktriangleright F_A = \mathsf{CNF}(P_{\neg R} \leftrightarrow \neg R):$$

$$\neg P_{\neg R} \vee \neg R)$$

$$(\neg P_{\neg R} \vee \neg R) \wedge (P_{\neg R} \vee R)$$

$$(\neg P_{\neg R} \lor \neg R) \land (P_{\neg R} \lor R)$$

$$P_F \land F_1 \land F_2 \land F_3 \land F_4 \quad \text{is in CNF and equisatisfiable to } F.$$

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Step 2: Decide the satisfiability of PL formula F' in CNF Boolean Constraint Propagation (BCP) If a clause contains one literal \(\ell. \) Set ℓ to T: $\cdots \wedge (\cdots \vee \ell \vee \cdots) \wedge \cdots$ $\cdots \wedge (\cdots \vee \ell \vee \cdots) \wedge \cdots$ Remove all clauses containing ℓ : Remove ¬ℓ in all clauses: based on the unit resolution $\ell \quad \neg \ell \lor C \leftarrow \text{clause}$ Pure Literal Propagation (PLP) If P occurs only positive (without negation), set it to \top . If P occurs only negative set it to \bot . Then do the simplifications as in Boolean Constraint Propagation

let F'' = PLP F' in if F'' = T then true else if $F'' = \bot$ then false else let P = CHOOSE vars(F'') in (DPLL $F''\{P \mapsto \top\}$) \vee (DPLL $F''\{P \mapsto \bot\}$)

Davis-Putnam-Logemann-Loveland (DPLL) Algorithm

Decides the satisfiability of PL formulae in CNF

Decision Procedure DPLL: Given F in CNF

let F' = BCP F in

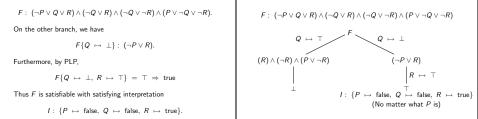
let rec DPLL F =

101 (B) (2) (2) 2 900 Page 45 of 50 Simplification Simplify according to the template equivalences (left-to-right) fexercise 1.2l $\neg\bot \Leftrightarrow \top$ $\neg\top \Leftrightarrow \bot$ $\neg\neg F \Leftrightarrow F$ $F \wedge \top \Leftrightarrow F \qquad F \wedge \bot \Leftrightarrow \bot$ $F \lor \top \Leftrightarrow \top \qquad F \lor \bot \Leftrightarrow F$

Page 46 of 50 Example I Consider $F: (\neg P \lor Q \lor R) \land (\neg Q \lor R) \land (\neg Q \lor \neg R) \land (P \lor \neg Q \lor \neg R).$ Branching on Q On the first branch, we have $F\{Q \mapsto \top\}: (R) \land (\neg R) \land (P \lor \neg R).$ By unit resolution.

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so $F\{Q \mapsto \top\} = \bot \Rightarrow$ false.



Example

Example II

Recall

