

Basic Statements

- **skip**
- assignment
$$\underbrace{(u_1, \dots, u_k)}_{\text{variables}} := \underbrace{(e_1, \dots, e_k)}_{\text{expressions}}$$
- **await** c
(where c is a boolean expression)
special case: **halt** \equiv **await** F
- Communication by message-passing
 $\alpha \leftarrow e$ (send)
 $\alpha \Rightarrow u$ (receive)
(where α is a channel)
- Semaphore operations
request r ($r > 0 \rightarrow r := r - 1$)
release r ($r := r + 1$)
(where r is an integer variable)

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SPL (CON'T)

No program variables are modified by schematic statements. One exception: “ x ” in **produce** x

Schematic Statements

In Mutual-Exclusion programs:

- **noncritical**
may not terminate
- **critical**
terminates

In Producer-Consumer programs:

- **produce** x
terminates – assign nonzero value to x
- **consume** y
terminates

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SPL (CON'T)

Compound Statements

- Conditional
if c **then** S_1 **else** S_2
if c **then** S

- Concatenation
 $S_1; \dots; S_k$

Example:

when c **do** $S \equiv$ **await** $c; S$

- Selection
 S_1 **or** \dots **or** S_k

- **while**
while c **do** S

Example:

loop forever do $S \equiv$ **while** \top **do** S

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SPL (CON'T)

Compound Statements (Con't)

- Cooperation Statement

$\ell: \underbrace{[\ell_1: S_1; \widehat{\ell}_1:]}_{\text{process}} \parallel \dots \parallel [\ell_k: S_k; \widehat{\ell}_k:]; \widehat{\ell}:$

S_1, \dots, S_k are parallel to one another
interleaved execution.

entry step: from ℓ to $\ell_1, \ell_2, \dots, \ell_k$,
exit step: from $\widehat{\ell}_1, \widehat{\ell}_2, \dots, \widehat{\ell}_k$ to $\widehat{\ell}$.

- Block

[local declaration; S]

local $variable, \dots, variable: type$ **where** $\underbrace{\varphi_i}_{\text{constraints}}$
 $y_1 = e_1, \dots, y_n = e_n$

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SPL (CON'T)

Basic types – boolean, integer, character, ...

Structured types – array, list, set, ...

Static variable initialization
 (variables get initialized at the
 start of the execution)

Programs

$P :: [declaration; P_1 :: [\ell_1: S_1; \widehat{\ell}_1:] \parallel \dots \parallel P_k :: [\ell_k: S_k; \widehat{\ell}_k:]]$

P_1, \dots, P_k are top-level processes
 Variables in P called program variables

Declaration

$mode \underbrace{variable, \dots, variable: type}_{\text{program variables}} \mathbf{where} \varphi_i$

↓

↓

in (not modified)
local
out

constraints on
 initial values

$\varphi_1 \wedge \dots \wedge \varphi_n$ data-precondition of the program

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Locations

$[\ell]$

Identify site of control

- $[\ell]$ is the location corresponding to label ℓ .
- Multiple labels identifying different statements may identify the same location.

$$[\ell] = \{\ell' \mid \ell' \sim_L \ell\}$$

Example: Fig 0.1: A fully labeled program

$$\begin{aligned} [\ell_0] &= [\ell_1] = \{\ell_0, \ell_1\} & [\ell_6] &= \{\ell_6\} \\ [\ell_2] &= \{\ell_2, \ell_3, \ell_5\} & [\ell_7] &= \{\ell_7\} \\ [\ell_4] &= \{\ell_4\} & [\ell_8] &= \{\ell_8\} \end{aligned}$$

Example: Fig 0.2: A partially labeled program

$$\begin{aligned} \ell_0 \\ \ell_3 &\rightarrow \ell_2^a \\ \ell_5 &\rightarrow \ell_2^b \end{aligned}$$

shortcut: label ℓ_2 “represents” $\{\ell_2, \ell_2^a, \ell_2^b\}$

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```

in   a, b : integer where a > 0, b > 0
local y1, y2: integer where y1 = a, y2 = b
out  g      : integer

```

```

[
  ℓ1: while y1 ≠ y2 do
    ℓ2: [
      ℓ2a: await y1 > y2; ℓ4: y1 := y1 - y2
      or
      ℓ2b: await y2 > y1; ℓ6: y2 := y2 - y1
    ]
  ℓ7: g := y1
  ℓ8:
]

```

Figure 0.2

A Partially Labeled Program GCD

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Post Location

$$\ell: S; \hat{\ell}: \quad \text{post}(S) = [\hat{\ell}]$$

- For $[\ell_1: S_1; \hat{\ell}_1:] \parallel \dots \parallel [\ell_k: S_k; \hat{\ell}_k:]$
 $\text{post}(S_i) = [\hat{\ell}_i]$, for every $i = 1, \dots, k$
- For $S = [\ell_1: S_1; \dots; \ell_k: S_k]$
 $\text{post}(S_i) = [\ell_{i+1}]$, for $i = 1, \dots, k-1$
 $\text{post}(S_k) = \text{post}(S)$
- For $S = [\ell_1: S_1 \text{ or } \dots \text{ or } \ell_k: S_k]$
 $\text{post}(S_1) = \dots = \text{post}(S_k) = \text{post}(S)$
- For $S = [\text{if } c \text{ then } S_1 \text{ else } S_2]$
 $\text{post}(S_1) = \text{post}(S_2) = \text{post}(S)$
- For $[\ell: \text{while } c \text{ do } S']$
 $\text{post}(S') = [\ell]$

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Example: Post Locations of Fig 0.2

$$\begin{aligned} \text{post}(\ell_1) &= [\ell_7] \\ \text{post}(\ell_2) &= \text{post}(\ell_4) \\ &= \text{post}(\ell_6) = [\ell_1] \\ \text{post}(\ell_2^a) &= [\ell_4] \\ \text{post}(\ell_2^b) &= [\ell_6] \\ \text{post}(\ell_7) &= [\ell_8] \end{aligned}$$

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Ancestor

S is an ancestor of S'
if S' is a substatement of S

S is a common ancestor of S_1 and S_2
if it is an ancestor of both S_1 and S_2

S is a least common ancestor (LCA) of S_1 and S_2
if S is a common ancestor of S_1 and S_2
and any other common ancestor
of S_1 and S_2 is an ancestor of S

LCA is unique for given statements S_1 and S_2

Example: $[S_1; [S_2 \parallel S_3]; S_4] \parallel S_5$

LCA of S_2, S_3	$[S_2 \parallel S_3]$
LCA of S_2, S_4	$[S_1; [S_2 \parallel S_3]; S_4]$
LCA of S_2, S_5	$[S_1; [S_2 \parallel S_3]; S_4] \parallel S_5$

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Parallel Labels

- Statements S and \tilde{S} are parallel if
their LCA is a cooperation statement
that is different from statements S and \tilde{S}

Example: $S = [S_1; [S_2 \parallel S_3]; S_4] \parallel S_5$

<u>Statements</u>	<u>LCA</u>
S_2 parallel to S_3	$S_2 \parallel S_3$
S_2 parallel to S_5	S
S_2 not parallel to S_4	$[S_1; \dots; S_4]$ not coop.
S_2 not parallel to $S_2 \parallel S_3$	$S_2 \parallel S_3$ same

- parallel labels – labels of parallel statements

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Conflicting Labels

conflicting labels – not equivalent and
not parallel

Example:

$\ell_1: S_1;$ $\ell_2: ([\ell_3: S_3; \hat{\ell}_3:] \parallel [\ell_4: S_4; \hat{\ell}_4:]);$ $\ell_5: S_5; \hat{\ell}_5:$	$\parallel [\ell_6: S_6; \hat{\ell}_6:]$
--	--

ℓ_3 is parallel to each of $\{\ell_4, \hat{\ell}_4, \ell_6, \hat{\ell}_6\}$
and in conflict with each of
 $\{\ell_1, \ell_2, \hat{\ell}_3, \ell_5, \hat{\ell}_5\}$

ℓ_6 and $\hat{\ell}_6$ are in conflict with each other
but are parallel to each of
 $\{\ell_1, \ell_2, \ell_3, \hat{\ell}_3, \ell_4, \hat{\ell}_4, \ell_5, \hat{\ell}_5\}$

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Critical References

Writing References:

$x := \dots$	$\alpha \Rightarrow u$	produce x	request r
↑	↑	↑	↑
			release r
			↑

Reading References: all other references

critical reference of a variable in S if:

- writing ref to a variable that has reading
or writing refs in S' (parallel to S)
- reading reference to a variable that has
writing references in S' (parallel to S)
- reference to a channel

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Limited Critical References (LCR)

Statement obeys LCR restriction (LCR-Statement)
 if each test (for await, conditional, while)
 and entire statement (for assignment)
 contains at most one critical reference.

Example: Fig 0.3

ℓ_2, m_1, m_3 are LCR-Statements
 ℓ_1, m_2 violate the LCR-requirement

$$P_1 :: \begin{bmatrix} \ell_1: \boxed{b} := \boxed{b} \cdot y_1 \\ \ell_2: \boxed{y_1} := y_1 - 1 \\ \ell_3: \end{bmatrix} \quad || \quad P_2 :: \begin{bmatrix} m_1: \mathbf{await} \boxed{y_1} + y_2 \leq n \\ m_2: \boxed{b} := \boxed{b} / y_2 \\ m_3: y_2 := y_2 + 1 \\ m_4: \end{bmatrix}$$

LCR-Program: only LCR-statements

Interleaved vs. Concurrent Execution

Claim : If P is an LCR program, then the interleaving computations of P and the concurrent executions of P give the same results.

Discussion & explanation: *Blue Book*.

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Figure 0.3

Critical references

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SPL Semantics

Transition Semantics:

$$\begin{array}{ccc} \text{SPL } P & & \text{computation of } P \\ \downarrow & & \uparrow \\ \text{FTS } \Phi & \rightarrow & \text{computation of } \Phi \end{array}$$

Given an SPL-program P , we can construct the corresponding FTS $\Phi = \langle V, \Theta, \mathcal{T}, \mathcal{J}, \mathcal{C} \rangle$:

- system variables V

$Y = \{y_1, \dots, y_n\}$ – program variables of P
 domains: as declared in P

π – control variable

domain: sets of locations in P

$$V = Y \cup \{\pi\}$$

SPL Semantics (Con't)

Comments:

- For label ℓ , $at_ \ell$: $[\ell] \in \pi$
 $at'_ \ell$: $[\ell] \in \pi'$

Note: When going from an SPL program to an FTS we lose the sequential nature of the program. We need to model control explicitly in the FTS: π can be viewed as a program counter.

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SPL Semantics (Con't)

Example: Fig 0.1

$V = \{\pi, a, b, y_1, y_2, g\}$

π - ranges over subsets of

$\{[\ell_1], [\ell_2], [\ell_4], [\ell_6], [\ell_7], [\ell_8]\}$

a, b, \dots, g - range over integers

- Initial Condition Θ

For $P :: [\text{dec}; [P_1 :: [\ell_1: S_1; \hat{\ell}_1:] \parallel \dots \parallel P_k :: [\ell_k: S_k; \hat{\ell}_k:]]]$

with data-precondition φ ,

$\Theta: \pi = \{[\ell_1], \dots, [\ell_k]\} \wedge \varphi$

Example: Fig 0.1

$\Theta: \pi = \{[\ell_1]\} \wedge$

$\underbrace{a > 0 \wedge b > 0 \wedge y_1 = a \wedge y_2 = b}_{\text{data-precondition}}$

in a, b : integer where $a > 0, b > 0$
local y_1, y_2 : integer where $y_1 = a, y_2 = b$
out g : integer

$$\left[\begin{array}{l} \ell_1: \text{while } y_1 \neq y_2 \text{ do} \\ \quad \ell_2: \left[\begin{array}{l} \ell_2^a: \text{await } y_1 > y_2; \ell_4: y_1 := y_1 - y_2 \\ \text{or} \\ \ell_2^b: \text{await } y_2 > y_1; \ell_6: y_2 := y_2 - y_1 \end{array} \right] \\ \ell_7: g := y_1 \\ \ell_8: \end{array} \right]$$

Figure 0.2

A Partially Labeled Program GCD

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SPL Semantics (Con't)

- Transitions \mathcal{T}

$\mathcal{T} = \{\tau_I\} \cup \left\{ \begin{array}{l} \text{transitions associated with} \\ \text{the statements of } P \end{array} \right\}$

where τ_I is the “idling transition”

$\rho_I: V' = V$

abbreviation

– $\text{pres}(U): \bigwedge_{u \in U} (u' = u)$ (where $U \subseteq V$)

the value of $u \in U$ are preserved

– $\text{move}(L, \hat{L}): L \subseteq \pi \wedge \pi' = (\pi - L) \cup \hat{L}$

where L, \hat{L} are sets of locations

– $\text{move}(\ell, \hat{\ell}): \text{move}(\{[\ell]\}, \{[\hat{\ell}]\})$

SPL Semantics (Con't)

We list the transitions (transition relations) associated with the statements of P

$\ell : S \quad \rho_\ell$

Basic Statements

$\ell: \text{skip}; \hat{\ell}: \rightarrow \text{move}(\ell, \hat{\ell}) \wedge \text{pres}(Y)$

$\ell: \bar{u} := \bar{e}; \hat{\ell}: \rightarrow \text{move}(\ell, \hat{\ell}) \wedge \bar{u}' = \bar{e} \wedge \text{pres}(Y - \{\bar{u}\})$

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SPL Semantics (Con't)

Basic Statements (Con't)

$$l: \text{await } c; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge c \wedge \text{pres}(Y)$$

$$l: \text{request } r; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge r > 0 \\ \wedge r' = r - 1 \\ \wedge \text{pres}(Y - \{r\})$$

$$l: \text{release } r; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge r' = r + 1 \\ \wedge \text{pres}(Y - \{r\})$$

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SPL Semantics (Con't)

Basic Statements (Con't)

asynchronous send

$$l: \alpha \leftarrow e; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge \alpha' = \alpha \bullet e \\ \wedge \text{pres}(Y - \{\alpha\})$$

asynchronous receive

$$l: \alpha \Rightarrow u; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge |\alpha| > 0 \\ \wedge \alpha = u' \bullet \alpha' \\ \wedge \text{pres}(Y - \{u, \alpha\})$$

synchronous send-receive

$$l: \alpha \leftarrow e; \widehat{\ell}: \quad m: \alpha \Rightarrow u; \widehat{m}:$$

$$\text{move}(\{\ell, m\}, \{\widehat{\ell}, \widehat{m}\}) \wedge u' = e \wedge \text{pres}(Y - \{u\})$$

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SPL Semantics (Con't)

Schematic Statements

ρ_ℓ

$$l: \text{noncritical}; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge \text{pres}(Y) \\ \text{(nontermination modeled by } \tau_\ell \notin \mathcal{J} \text{)}$$

$$l: \text{critical}; \widehat{\ell}: \rightarrow \text{move}(\ell, \widehat{\ell}) \wedge \text{pres}(Y)$$

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SPL Semantics (Con't)

Compound Statements

$$l: [\text{if } c \text{ then } \ell_1: S_1 \text{ else } \ell_2: S_2]; \widehat{\ell}: \rightarrow \\ \rho_\ell: \rho_\ell^T \vee \rho_\ell^F \text{ where} \\ \rho_\ell^T: \text{move}(\ell, \ell_1) \wedge c \wedge \text{pres}(Y) \\ \rho_\ell^F: \text{move}(\ell, \ell_2) \wedge \neg c \wedge \text{pres}(Y)$$

$$l: [\text{while } c \text{ do } [\widehat{\ell}: \widetilde{S}]]; \widehat{\ell}: \rightarrow \\ \rho_\ell: \rho_\ell^T \vee \rho_\ell^F \text{ where} \\ \rho_\ell^T: \text{move}(\ell, \widehat{\ell}) \wedge c \wedge \text{pres}(Y) \\ \rho_\ell^F: \text{move}(\ell, \widehat{\ell}) \wedge \neg c \wedge \text{pres}(Y)$$

$$l: [[\ell_1: S_1; \widehat{\ell}_1:] \parallel \dots \parallel [\ell_k: S_k; \widehat{\ell}_k:]]; \widehat{\ell}: \rightarrow \\ \rho_\ell^E: \text{move}(\{\ell\}, \{\ell_1, \dots, \ell_k\}) \wedge \text{pres}(Y) \text{ (entry)} \\ \rho_\ell^X: \text{move}(\{\widehat{\ell}_1, \dots, \widehat{\ell}_k\}, \{\widehat{\ell}\}) \wedge \text{pres}(Y) \text{ (exit)}$$

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Grouped Statements $\langle S \rangle$
 executed in a single atomic step

Example:
 $\langle x := y + 1; z := 2x + 1 \rangle$
 $x' = y + 1 \quad \wedge \quad z' = 2y + 3$
 the same as $\langle (x, z) := (y + 1, 2y + 3) \rangle$

Example:
 $\langle \underbrace{a := 3; a := 5}_{a' = 5} \rangle$
 $a = 3$ is never visible to the outside world, nor to other processes

SPL Semantics (Con't)

- Justice Set \mathcal{J}
 All transitions except τ_I and all transitions associated with **noncritical** statements
- Compassion Set \mathcal{C}
 All transitions associated with send, receive, request statements

Computations of Programs

local x : integer where $x = 1$

$$P_1 :: \left[\begin{array}{l} \ell_0: \left[\begin{array}{l} \ell_0^a: \text{await } x = 1 \\ \text{or} \\ \ell_0^b: \text{skip} \end{array} \right] \\ \ell_1: \end{array} \right] \parallel P_2 :: \left[\begin{array}{l} m_0: \text{while } \top \text{ do} \\ [m_1: x := -x] \end{array} \right]$$

Fig 0.4 Process P_1 terminates in all computations.

$\sigma: \langle \pi: \{\ell_0, m_0\}, x: 1 \rangle \xrightarrow{m_0} \langle \pi: \{\ell_0, m_1\}, x: 1 \rangle \xrightarrow{m_1}$
 $\langle \pi: \{\ell_0, m_0\}, x: -1 \rangle \xrightarrow{m_0} \langle \pi: \{\ell_0, m_1\}, x: -1 \rangle \xrightarrow{m_1}$
 $\langle \pi: \{\ell_0, m_0\}, x: 1 \rangle \xrightarrow{m_0} \dots$

σ is not a computation. Unjust towards ℓ_0^b
 (enabled on all states but never taken)

Computations of Programs (Con't)

local x : integer where $x = 1$

$$P_1 :: \left[\begin{array}{l} \ell_0: \left[\begin{array}{l} \ell_0^a: \text{await } x = 1 \\ \text{or} \\ \ell_0^b: \text{await } x \neq 1 \end{array} \right] \\ \ell_1: \end{array} \right] \parallel P_2 :: \left[\begin{array}{l} m_0: \text{while } \top \text{ do} \\ [m_1: x := -x] \end{array} \right]$$

Fig 0.5 **skip** \rightarrow **await $x \neq 1$**

$\sigma: \langle \pi: \{\ell_0, m_0\}, x: 1 \rangle \xrightarrow{m_0} \langle \pi: \{\ell_0, m_1\}, x: 1 \rangle \xrightarrow{m_1}$
 $\langle \pi: \{\ell_0, m_0\}, x: -1 \rangle \xrightarrow{m_0} \langle \pi: \{\ell_0, m_1\}, x: -1 \rangle \xrightarrow{m_1}$
 $\langle \pi: \{\ell_0, m_0\}, x: 1 \rangle \xrightarrow{m_0} \dots$

σ is a computation –
 since none of the just transitions are continually enabled.

Computations of Programs (Con't)

local x : integer where $x = 1$

$$P_1 :: \left[\begin{array}{l} \ell_0: \text{if } x = 1 \text{ then} \\ \quad \ell_1: \text{skip} \\ \text{else} \\ \quad \ell_2: \text{skip} \\ \ell_3: \end{array} \right] \parallel P_2 :: \left[\begin{array}{l} m_0: \text{while } \top \text{ do} \\ \quad [m_1: x := -x] \end{array} \right]$$

Fig 0.6 Process P_1 terminates in all computations.

$$\begin{aligned} \sigma: \langle \pi: \{\ell_0, m_0\}, x: 1 \rangle &\xrightarrow{m_0} \langle \pi: \{\ell_0, m_1\}, x: 1 \rangle \xrightarrow{m_1} \\ \langle \pi: \{\ell_0, m_0\}, x: -1 \rangle &\xrightarrow{m_0} \langle \pi: \{\ell_0, m_1\}, x: -1 \rangle \xrightarrow{m_1} \\ \langle \pi: \{\ell_0, m_0\}, x: 1 \rangle &\xrightarrow{m_0} \dots \end{aligned}$$

σ is not a computation –
since ℓ_0 is continually enabled,
but not taken.

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Control Configurations

$L = \{[\ell_1], \dots, [\ell_k]\}$ of P is called conflict-free
if no $[\ell_i]$ conflicts with $[\ell_j]$, for $i \neq j$.

L is called a (control) configuration of P
if it is a maximal conflict-free set.

Example:

local x : integer where $x = 0$

$$P_1 :: \left[\begin{array}{l} \ell_0: x := 1 \\ \ell_1: \end{array} \right] \parallel P_2 :: \left[\begin{array}{l} m_0: \text{await } x = 1 \\ m_1: \end{array} \right]$$

Configurations

$$\begin{aligned} &\{[\ell_0], [m_0]\}, \{[\ell_0], [m_1]\}, \\ &\{[\ell_1], [m_0]\}, \{[\ell_1], [m_1]\} \end{aligned}$$

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SPL Semantics (Con't)

accessible configuration –
appears as value of π in some accessible state

Example:

$\{[\ell_0], [m_1]\}$ does not appear in any accessible state

Is a given configuration accessible?

Undecidable

The Mutual-Exclusion Problem

loop forever do

$$\left[\begin{array}{l} \text{noncritical} \\ \dots\dots\dots \\ \text{critical} \\ \dots\dots\dots \end{array} \right] \parallel \left[\begin{array}{l} \text{noncritical} \\ \dots\dots\dots \\ \text{critical} \\ \dots\dots\dots \end{array} \right]$$

Requirements:

- Exclusion
While one of the processes is in its critical section,
the other is not
- Accessibility
Whenever a process is at the noncritical section exit,
it must eventually reach its critical section

Example: mutual exclusion by semaphores

Fig. 0.7

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Message-Passing Programs

Example: Producer-Consumer

Fig. 0.9

assumption:

channel send $\leq N$ values

local y : integer where $y = 1$

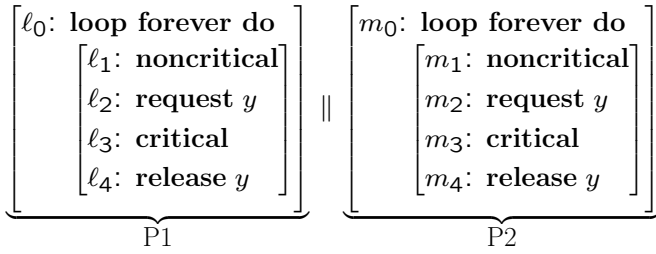


Fig. 0.7 Program MUX-SEM

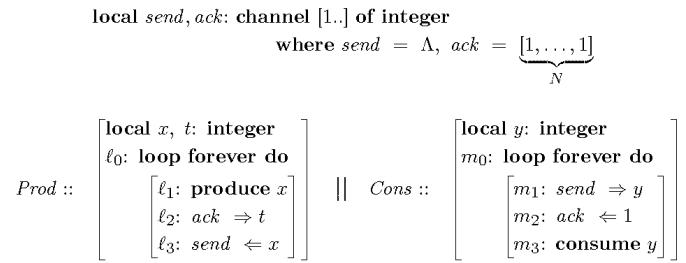


Fig. 0.9 Program PROD-CONS