

CS256/Spring 2008 — Lecture #7

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Strengthening vs. Incremental Proof

Comparing the Strategies

We want to prove $\Box q$, but q is not inductive.

We have two options:

1 Strengthening

Strengthen it to $q \wedge \varphi$.

Prove $\Box(q \wedge \varphi)$ and deduce $\Box q$.

2 Incremental

First prove $\Box \varphi$ and then prove

$\Box q$ relative to φ .

Resulting verification conditions:

1 I1. $\Theta \rightarrow q \wedge \varphi$
I2. $\{q \wedge \varphi\} \mathcal{T} \{q \wedge \varphi\}$

2 I1'. $\Theta \rightarrow \varphi$ I1''. $\Theta \rightarrow q$
I2'. $\{\varphi\} \mathcal{T} \{\varphi\}$ I2''. $\{q \wedge \varphi\} \mathcal{T} \{q\}$

$$\Box \varphi$$

$$\Box q$$

Strengthening vs. Incremental Proof (Con't)

- $\boxed{1}$ is strictly more powerful than $\boxed{2}$.

$\boxed{2}$ implies $\boxed{1}$ since

$$\left[\begin{array}{l} \underbrace{\rho_\tau \wedge \varphi \rightarrow \varphi'}_{I2'} \\ \underbrace{\rho_\tau \wedge q \wedge \varphi \rightarrow q'}_{I2''} \end{array} \right] \rightarrow \underbrace{[\rho_\tau \wedge q \wedge \varphi \rightarrow q' \wedge \varphi']}_{I2}$$

- In practice, $\boxed{2}$ is often more useful than $\boxed{1}$
 - allows breaking down the proof in more manageable pieces
 - smaller verification conditions
 - more intuitive

Strengthening vs. Incremental Proof (Con't)

Example:

```
local  $x$ : integer where  $x = 1$   
 $\ell_0$ : loop forever do  
  [  $\ell_1$  :  $x := x + 1$  ]
```

Show q_1 : $at_l_0 \rightarrow x > 0$

q_2 : $at_l_1 \rightarrow x > 0$

- both are P -valid
- neither of them is inductive
- but $q_1 \wedge q_2$ is inductive!

Combining the Strategies

Rule INC-INV: (incremental invariance)

For assertions $q, \varphi, \chi_1, \dots, \chi_k$

$$\text{I0.} \quad P \models \square \chi_1, \dots, \square \chi_k$$

$$\text{I1.} \quad P \models \left(\bigwedge_{i=1}^k \chi_i \right) \wedge \varphi \rightarrow q$$

$$\text{I2.} \quad P \models \Theta \rightarrow \varphi$$

$$\text{I3.} \quad P \models \left\{ \left(\bigwedge_{i=1}^k \chi_i \right) \wedge \varphi \right\} \mathcal{T} \{ \varphi \}$$

$$P \models \square q$$

If φ satisfies I2 and I3, we say that

“ φ is inductive relative to χ_1, \dots, χ_k ”

Combining the Strategies (Con't)

Note that Θ must be stronger than all the χ_i 's (i.e., $P \models \Theta \rightarrow \chi_i$) and so

$$P \models \left(\bigwedge_{i=1}^k \chi_i \right) \wedge \Theta \rightarrow \varphi \quad \text{iff} \quad P \models \Theta \rightarrow \varphi$$

From now on, we usually omit “ $P \vDash$ ” and “ $P \models$ ”.
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Detecting Trivial Verification Conditions

$\{\varphi\} \mathcal{T} \{\varphi\}$ – Don't check every $\tau \in \mathcal{T}$.

- Ignore $\{\varphi\} \tau_I \{\varphi\}$ – always true

- Ignore $\{\varphi\} \tau \{\varphi\}$

if τ does not modify any variable in φ

- For $\{\varphi\} \tau \{\varphi\}$ where $\varphi: p \rightarrow q$

$$\rho_\tau \wedge \underbrace{p \rightarrow q}_\varphi \rightarrow \underbrace{p' \rightarrow q'}_{\varphi'}$$

Consider only τ 's that
validate p or falsify q

Finding Inductive Assertions

Two methods:

1. Bottom-up:

- based on the program text only
- algorithmic
- guaranteed to produce an inductive invariant

2. Top-down:

- guided by the property we want to prove
- heuristic
- not guaranteed to produce an inductive invariant

Finding Inductive Assertions

Bottom-Up Approach

- Transition-validated assertions:

ℓ_1 : [while c do S]; ℓ_2 :

$$\boxed{at_l_2 \rightarrow \neg c}$$

if no statement parallel to ℓ_2 can
modify variables in c

ℓ_1 : $y := e$; ℓ_2 :

$$\boxed{at_l_2 \rightarrow y = e}$$

if no statement parallel to ℓ_2 can modify y
or variables occurring in e
and if y does not occur in e .

Bottom-Up Approach (Con't)

- single variable assertions

$$y = 1$$
$$\left[\begin{array}{l} \text{loop forever do} \\ \left[\begin{array}{l} \dots \\ \text{request } y \\ \dots \\ \text{release } y \end{array} \right] \end{array} \right]$$
$$\boxed{y \geq 0}$$

$$s = 1$$
$$\left[\begin{array}{l} \dots \\ s := 1 \\ \dots \end{array} \right] \parallel \left[\begin{array}{l} \dots \\ s := 2 \\ \dots \end{array} \right]$$
$$\boxed{s = 1 \vee s = 2}$$

where no other statement
modifies s

Example: Program SQUARE-ROOT

Fig. 1.11

$$\boxed{at_l_2 \rightarrow z^2 \leq x < (z + 1)^2}$$

Intuitive argument:

$$z = 0, 1, \dots, n$$

$$u = 1, 3, \dots, 2n + 1$$

$$w = \underbrace{1 + 3 + \dots + (2n + 1)}_{(n+1)^2} = (z + 1)^2$$

first time $w > x$

$$x < (z + 1)^2$$

last time $w \leq x$

$$z^2 \leq x$$

Thus at l_2 :

$$z^2 \leq x < (z + 1)^2$$

Program SQUARE-ROOT

in x : **integer** where $x \geq 0$
local u, w : **integer** where $u = 1, w = 1$
out z : **integer** where $z = 0$

l_0 : **while** $w \leq x$ **do**

l_1 : $(z, u, w) := (z + 1, u + 2, w + u + 2)$

l_2 :

$$\rho_{l_0}: \underbrace{\text{move}(l_0, l_1) \wedge w \leq x}_{\rho_{l_0}^T} \vee \underbrace{\text{move}(l_0, l_2) \wedge w > x}_{\rho_{l_0}^F}$$

$$\begin{aligned} \rho_{l_1}: \quad & \text{move}(l_1, l_0) && \wedge \\ & z' = z + 1 && \wedge \\ & u' = u + 2 && \wedge \\ & w' = w + u + 2 && \end{aligned}$$

Find $\psi_2: at_{-l_2} \rightarrow x < (z + 1)^2$

$$\begin{cases} z_0 = 0 \\ z_n = z_{n-1} + 1 \quad \text{for } n > 0 \end{cases}$$

$$\begin{cases} u_0 = 1 \\ u_n = u_{n-1} + 2 \quad \text{for } n > 0 \end{cases}$$

$$\begin{cases} w_0 = 1 \\ w_n = w_{n-1} + u_{n-1} + 2 \quad \text{for } n > 0 \end{cases}$$

• Step 1

$$\left. \begin{array}{l} z_n = n \quad \text{for } n \geq 0 \\ u_n = 2n + 1 \quad \text{for } n \geq 0 \end{array} \right\} \Rightarrow \begin{array}{l} u_n = 2z_n + 1 \\ \text{for } n \geq 0 \end{array}$$

$$\boxed{\varphi_1: u = 2z + 1}$$

- Step 2

$$\begin{cases} w_0 = 1 \\ w_n = w_{n-1} + \overbrace{(2(n-1) + 1)}^{u_{n-1}} + 2 \\ \quad = w_{n-1} + (2n + 1) \end{cases} \quad \text{for } n \geq 0$$

$$w_n = \sum_{k=0}^n (2k + 1) = (n + 1)^2 \quad \text{for } n \geq 0$$

$$w_n = (z_n + 1)^2 \quad \text{for } n \geq 0$$

$$\boxed{\varphi_2: w = (z + 1)^2}$$

- Step3

$$\boxed{at_{-l_2} \rightarrow x < w}$$

Therefore

$$\boxed{\psi_2: at_{-l_2} \rightarrow x < (z + 1)^2}$$

Construction of Linear Invariants

a limited class of invariants that can be constructed algorithmically

Definition: integer variable y is linear in P if

$$y' = y + c \quad \text{for every } \rho_\tau$$

for some integer constant c .

Example: semaphore variables are linear

$$\underbrace{y' = y + 1}_{\text{release}}$$

$$\underbrace{y' = y - 1}_{\text{request}}$$

$$\underbrace{y' = y}_{\text{otherwise}}$$

Definition:

A linear invariant is of the form

$$\underbrace{\sum_{i=1}^r a_i \cdot y_i}_{\text{body}} + \underbrace{\sum_{\ell \in \mathcal{L}} b_\ell \cdot at_\ell}_{\text{compensation expression}} = \underbrace{K}_{\text{constant}}$$

where

a_i, b_ℓ, K – integer constants.

\mathcal{L} – set of all locations in P

y_1, \dots, y_r – all linear variables in P

Example: Program DOUBLE

$$\begin{array}{c} \text{local } y: \text{ integer where } y = 0 \\ \left[\begin{array}{l} \ell_0: y := y + 1 \\ \ell_1: \end{array} \right] \quad \parallel \quad \left[\begin{array}{l} m_0: y := y + 1 \\ m_1: \end{array} \right] \end{array}$$

linear variable: y

linear invariant:

$$y + at_{\ell_0} + at_{m_0} = 2$$

How are linear invariants constructed?

Our procedure guarantees that the generated assertions are P -invariants!

Assumption

Program $\ell_0^1: S_1 \parallel \dots \parallel \ell_0^i: S_i \parallel \dots \parallel \ell_0^m: S_m$

- no nested parallel statements. Therefore, all move expressions in all ρ_τ are of the form $move(\ell_i, \ell_j)$
- all linear variables y_i have a single initial value y_i^0
- every transition τ enabled on some P -accessible state

Increments

- $\Delta(y, \tau) = c$ if $\rho_\tau \rightarrow y' = y + c$
therefore $\rho_\tau \rightarrow y' = y + \Delta(y, \tau)$

- $\Delta(at_l, \tau) = \begin{cases} 1 & \text{if } l = \ell_j \\ -1 & \text{if } l = \ell_i \\ 0 & \text{otherwise} \end{cases}$
if $\rho_\tau \rightarrow move(\ell_i, \ell_j)$

therefore $\rho_\tau \rightarrow at'_l = at_l + \Delta(at_l, \tau)$

Equations

Construct

$$\varphi: \sum_{i=1}^r a_i \cdot y_i + \sum_{\ell \in \mathcal{L}} b_\ell \cdot at_{-\ell} = K$$

We obtain the values of the coefficients from a set of equations as follows:

(I) The invariant has to hold at the first state of every computation

$$\Theta \quad \text{implies} \quad y_i = y_i^0 \quad (i = 1 \dots r)$$
$$\text{and } \pi = \{\ell_0^1, \dots, \ell_0^m\}$$

and so we get

$$\sum_{i=1}^r a_i \cdot y_i^0 + (b_{\ell_0^1} + \dots + b_{\ell_0^m}) = K$$

Equations (Con'd)

(**T**) the assertion has to be preserved by all transitions
(we want it to be inductive):

$$\underbrace{\left(\sum_{i=1}^r a_i \cdot y_i + \sum_{\ell \in \mathcal{L}} b_\ell \cdot at_{-\ell} = K \right)}_{\varphi} \wedge \rho_\tau$$
$$\rightarrow \underbrace{\left(\sum_{i=1}^r a_i \cdot y'_i + \sum_{\ell \in \mathcal{L}} b_\ell \cdot at'_{-\ell} = K \right)}_{\varphi'}$$

or

$$\rho_\tau \rightarrow \sum_{i=1}^r a_i \cdot (y'_i - y_i) + \sum_{\ell \in \mathcal{L}} b_\ell \cdot (at'_{-\ell} - at_{-\ell}) = 0$$

resulting in the set of equations

$$\boxed{\sum_{i=1}^r a_i \cdot \Delta(y_i, \tau) + \sum_{\ell \in \mathcal{L}} b_\ell \cdot \Delta(at_{-\ell}, \tau) = 0}$$

for every transition $\tau \in \mathcal{T}$

Example: Program DOUBLE

$$\begin{array}{c} \text{local } y: \text{ integer where } y = 0 \\ \left[\begin{array}{l} \ell_0: y := y + 1 \\ \ell_1: \end{array} \right] \quad \parallel \quad \left[\begin{array}{l} m_0: y := y + 1 \\ m_1: \end{array} \right] \end{array}$$

linear invariant:

$$\varphi: a \cdot y + b_{\ell_0} \cdot at_{-\ell_0} + b_{\ell_1} \cdot at_{-\ell_1} + b_{m_0} \cdot at_{-m_0} + b_{m_1} \cdot at_{-m_1} = K$$

$$(I) \quad a \cdot 0 + b_{\ell_0} + b_{m_0} = K$$

(initial value of y is 0)

$$(T) \quad \begin{array}{l} a \cdot 1 - b_{\ell_0} + b_{\ell_1} = 0 \quad (\text{for } \ell_0) \\ a \cdot 1 - b_{m_0} + b_{m_1} = 0 \quad (\text{for } m_0) \end{array}$$

Example: Program DOUBLE (Con'd)

Possible solutions (basis for all solutions)

	a	b_{ℓ_0}	b_{ℓ_1}	b_{m_0}	b_{m_1}	K
S_1	0	1	1	0	0	1
S_2	0	0	0	1	1	1
S_3	1	1	0	1	0	2

Corresponding invariants

$$\varphi_1: at_{-\ell_0} + at_{-\ell_1} = 1 \quad (\text{control invariant})$$

$$\varphi_2: at_{-m_0} + at_{-m_1} = 1 \quad (\text{control invariant})$$

$$\varphi_3: y + at_{-\ell_0} + at_{-m_0} = 2$$

Linear Invariants for Cyclic Programs

Program $\ell_0^1: S_1 \parallel \dots \parallel \ell_0^j: S_j \parallel \dots \parallel \ell_0^m: S_m$

where S_j is of the form

$\ell_0^j: \text{loop forever do } \underbrace{\ell_1^j, \ell_2^j, \dots, \ell_k^j}_{\text{cycle } C}$

Define

$$\Delta(y, C) = \Delta(y, \tau_1) + \dots + \Delta(y, \tau_k)$$

For these programs construction of the linear invariants can be done in three phases:

1. Compute a_i 's
2. Compute b_ℓ 's
3. Compute K

Phase 1: Bodies

For cycle $\underbrace{\ell_1, \ell_2, \dots, \ell_k}_C$

$$\sum_{i=1}^r a_i \cdot \Delta(y_i, \tau_{\ell_1}) - b_{\ell_1} + b_{\ell_2} = 0$$

$$\sum_{i=1}^r a_i \cdot \Delta(y_i, \tau_{\ell_2}) - b_{\ell_2} + b_{\ell_3} = 0$$

⋮

$$\sum_{i=1}^r a_i \cdot \Delta(y_i, \tau_{\ell_k}) + b_{\ell_1} - b_{\ell_k} = 0$$

$$\sum_{i=1}^r a_i \cdot (\Delta(y_i, \tau_{\ell_1}) + \dots + \Delta(y_i, \tau_{\ell_k})) = 0$$

Thus,

$$\sum_{i=1}^r a_i \cdot \Delta(y_i, C) = 0$$

Phase 2: Compensation Expressions

$$\boxed{b_{\ell_0} = 0}$$

For $\tau: \ell_j \rightarrow \ell_k$ where $j < k$

$$\sum_{i=1}^r a_i \cdot \Delta(y_i, \tau) - b_{\ell_j} + b_{\ell_k} = 0$$

Assume that for all $j < k$, b_{ℓ_j} is known.

Compute b_{ℓ_k} from

$$\boxed{b_{\ell_k} = b_{\ell_j} - \sum_{i=1}^r a_i \cdot \Delta(y_i, \tau)}$$

(independently for each cycle)

Phase 3: Right constants

$$K = \sum_{i=1}^r a_i \cdot y_i^0$$

Note: This set of equations has the same solutions as the equations (T) + (I) except for solutions of the form

$$at_{-\ell_1} + \dots + at_{-\ell_k} = 1$$

which are produced by (T) + (I), but not by this set.

Example: Program PROD-CON-SV (Fig 2.23)

Producer-Consumer with
shared variables

- semaphores r, ne, nf :

ne – counts # of empty slots in list b

initially $ne = N$

nf – counts # of full slots in b

initially $nf = 0$

r – ensures that the shared variable b is

handled exclusively by *Prod* or *Cons*

- linear variables: $r, ne, nf, |b|$

Program PROD-CONS-SV (Fig. 2.23)

local r, ne, nf : integer where $r = 1, ne = N, nf = 0$
 b : list of integer where $b = \Lambda$

Prod :: $\left[\begin{array}{l} \text{local } x: \text{ integer} \\ \ell_0: \text{ loop forever do} \\ \quad \left[\begin{array}{l} \ell_1: \text{ produce } x \\ \ell_2: \text{ request } ne \\ \ell_3: \text{ request } r \\ \ell_4: b := b \bullet x \\ \ell_5: \text{ release } r \\ \ell_6: \text{ release } nf \end{array} \right] \end{array} \right]$

||

Cons :: $\left[\begin{array}{l} \text{local } y: \text{ integer} \\ m_0: \text{ loop forever do} \\ \quad \left[\begin{array}{l} m_1: \text{ request } nf \\ m_2: \text{ request } r \\ m_3: (y, b) := (hd(b), tl(b)) \\ m_4: \text{ release } r \\ m_5: \text{ release } ne \\ m_6: \text{ consume } y \end{array} \right] \end{array} \right]$

Properties we want to prove:

$$\square \underbrace{\neg(at_{-l_4} \wedge at_{-m_3})}_{\psi_1}$$

$$\square \underbrace{at_{-l_4} \rightarrow |b| < N}_{\psi_2}$$

$$\square \underbrace{at_{-m_3} \rightarrow |b| > 0}_{\psi_3}$$

Bottom-up invariants:

$$\underbrace{r \geq 0}_{\varphi_0} \wedge \underbrace{ne \geq 0}_{\varphi_1} \wedge \underbrace{nf \geq 0}_{\varphi_2} \wedge \underbrace{|b| \geq 0}_{\varphi_3}$$

Bodies:

Increments along each cycle:

	Prod	Cons
r	0	0
ne	-1	1
nf	1	-1
$ b $	1	-1

For each cycle: $\sum_{i=1}^r a_i \cdot \Delta(y_i, C) = 0$

Therefore

Prod: $-a_e + a_f + a_b = 0$

Cons: $a_e - a_f - a_b = 0$

Solutions

Bodies

- | | | | |
|----|------------------|-----------------------|-----------------|
| 1. | $a_r = 1,$ | $a_e = a_f = a_b = 0$ | $B_1: r$ |
| 2. | $a_e = a_f = 1,$ | $a_r = a_b = 0$ | $B_2: ne + nf$ |
| 3. | $a_e = a_b = 1,$ | $a_r = a_f = 0$ | $B_3: ne + b $ |

compensation expressions

coefficients of $b_{\ell_1}, \dots, b_{m_6}$

corresponding to bodies

$$B_1: r$$

$$B_2: ne + nf$$

$$B_3: ne + |b|$$

	– Prod –				– Cons –		
	B_1	B_2	B_3		B_1	B_2	B_3
b_{ℓ_1}	0	0	0	b_{m_1}	0	0	0
b_{ℓ_2}	0	0	0	b_{m_2}	0	1	0
b_{ℓ_3}	0	1	1	b_{m_3}	1	1	0
b_{ℓ_4}	1	1	1	b_{m_4}	1	1	1
b_{ℓ_5}	1	1	0	b_{m_5}	0	1	1
b_{ℓ_6}	0	1	0	b_{m_6}	0	0	0

Right constants

$$b_{\ell_0} = b_{m_0} = 0$$

Initial values

$$r = 1, ne = N, nf = 0, |b| = 0$$

$$K_1 = 1 \cdot \underbrace{1}_r = 1$$

$$K_2 = 1 \cdot \underbrace{N}_{ne} + 1 \cdot \underbrace{0}_{nf} = N$$

$$K_3 = 1 \cdot \underbrace{N}_{ne} + 1 \cdot \underbrace{0}_{|b|} = N$$

The resulting invariants

$$\alpha_1: r + at_{-\ell_{4,5}} + at_{-m_{3,4}} = 1$$

$$\alpha_2: ne + nf + at_{-\ell_{3..6}} + at_{-m_{2..5}} = N$$

$$\alpha_3: ne + |b| + at_{-\ell_{3,4}} + at_{-m_{4,5}} = N$$

No need to check invariance!

These invariants imply the properties we wanted to prove:

$$\begin{aligned} \psi_1 : \quad & \underbrace{r + at_{-l_{4,5}} + at_{-m_{3,4}} = 1}_{\alpha_1} \wedge \underbrace{r \geq 0}_{\varphi_0} \\ & \rightarrow \underbrace{\neg(at_{-l_4} \wedge at_{-m_4})}_{\psi_1} \end{aligned}$$

$$\begin{aligned} \psi_2 : \quad & \underbrace{ne + |b| + at_{-l_{3,4}} + at_{-m_{4,5}} = N}_{\alpha_3} \wedge \underbrace{ne \geq 0}_{\varphi_1} \\ & \rightarrow \underbrace{at_{-l_4} \rightarrow |b| < N}_{\psi_2} \end{aligned}$$

Since $at_{-l_4} \rightarrow at_{-l_{3,4}} = 1$

and $ne \geq 0, at_{-l_{3,4}} = 1, at_{-m_{4,5}} \geq 0$ implies $|b| < N$

$$\begin{aligned}
\psi_3 : \quad & \underbrace{ne + nf + at_{-\ell_{3..6}} + at_{-m_{2..5}} = N}_{\alpha_2} \wedge \\
& \underbrace{ne + |b| + at_{-\ell_{3,4}} + at_{-m_{4,5}} = N}_{\alpha_3} \wedge \\
& \underbrace{nf \geq 0}_{\varphi_2} \\
& \rightarrow \underbrace{at_{-m_3} \rightarrow |b| > 0}_{\psi_3}
\end{aligned}$$

Suppose at_{-m_3} :

$$\varphi_2: \quad ne + nf + at_{-\ell_{3..6}} + 1 = N$$

$$\varphi_3: \quad ne + |b| + at_{-\ell_{3,4}} + 0 = N$$

Since $\varphi_2 - \varphi_3$ yields

$$nf - |b| + at_{-\ell_{3..6}} - at_{-\ell_{3,4}} + 1 = 0$$

Thus

$$|b| = \underbrace{nf}_{\geq 0} + \underbrace{(at_{-\ell_{3..6}} - at_{-\ell_{3,4}})}_{\geq 0} + 1 > 0$$