

**Rule nwait** (nested waiting-for)

For assertions  $p, q_0, q_1, \dots, q_m$  and  $\varphi_0, \varphi_1, \dots, \varphi_m$

$$\text{N1. } p \rightarrow \bigvee_{j=0}^m \varphi_j$$

$$\text{N2. } \varphi_i \rightarrow q_i \quad \text{for } i = 0, 1, \dots, m$$

$$\text{N3. } \{\varphi_i\} \mathcal{T} \left\{ \bigvee_{j \leq i} \varphi_j \right\} \text{ for } i = 1, \dots, m$$

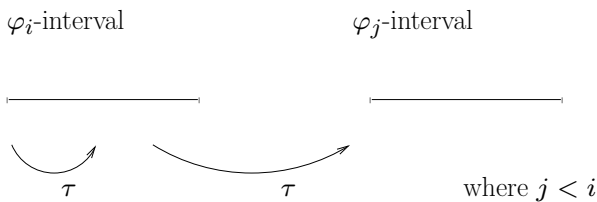
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$$p \Rightarrow q_m \mathcal{W} q_{m-1} \cdots q_1 \mathcal{W} q_0$$

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Nested Waiting-for Formulas (Cont'd)



Premise N3 states that for each assertion  $\varphi_i$ , each transition  $\tau \in \mathcal{T}$  either preserves  $\varphi_i$  or leads to some  $\varphi_j$ , with  $j < i$ .

Example: Program mux-pet1 (Fig. 3.4)

An example of a nested waiting-for formula is 1-bounded overtaking for MUX-PET1:

$$\underbrace{at\_l_3}_p \Rightarrow \underbrace{\neg at\_m_4}_{q_3} \mathcal{W} \underbrace{at\_m_4}_{q_2} \mathcal{W} \underbrace{\neg at\_m_4}_{q_1} \mathcal{W} \underbrace{at\_l_4}_{q_0}$$

It states that when process  $P_1$  is at  $l_3$ , process  $P_2$  can enter its critical section at most once ahead of process  $P_1$ .

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**Example: Program mux-pet1 (Fig. 3.4)**

(Peterson's Algorithm for mutual exclusion)

**local**  $y_1, y_2$ : **boolean** **where**  $y_1 = \text{F}, y_2 = \text{F}$   
 $s$  : **integer** **where**  $s = 1$

$\ell_0$  : **loop forever do**

$P_1$  ::  $\left[ \begin{array}{l} \ell_1 : \text{noncritical} \\ \ell_2 : (y_1, s) := (\text{T}, 1) \\ \ell_3 : \text{await } (\neg y_2) \vee (s \neq 1) \\ \ell_4 : \text{critical} \\ \ell_5 : y_1 := \text{F} \end{array} \right]$

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$m_0$  : **loop forever do**

$P_2$  ::  $\left[ \begin{array}{l} m_1 : \text{noncritical} \\ m_2 : (y_2, s) := (\text{T}, 2) \\ m_3 : \text{await } (\neg y_1) \vee (s \neq 2) \\ m_4 : \text{critical} \\ m_5 : y_2 := \text{F} \end{array} \right]$

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With the following strengthenings all premises of rule NWAIT become state-valid.

$p$ :  $\underline{at\_l_3}$

$\varphi_3$ :  $at\_l_3 \wedge \underline{\neg at\_m_4} \wedge at\_m_3 \wedge s = 1$   
 "P<sub>2</sub> has priority over P<sub>1</sub>"

$\varphi_2$ :  $at\_l_3 \wedge \underline{at\_m_4}$

$\varphi_1$ :  $at\_l_3 \wedge \underline{\neg at\_m_4} \wedge (at\_m_3 \rightarrow s = 2)$   
 "P<sub>1</sub> has priority over P<sub>2</sub>"

$\varphi_0 = q_0$ :  $\underline{at\_l_4}$

or equivalently,

$p$ :  $at\_l_3$

$\varphi_3$ :  $at\_l_3 \wedge at\_m_3 \wedge s = 1$

$\varphi_2$ :  $at\_l_3 \wedge at\_m_4$

$\varphi_1$ :  $at\_l_3 \wedge (at\_m_{0..2,5} \vee (at\_m_3 \wedge s = 2))$

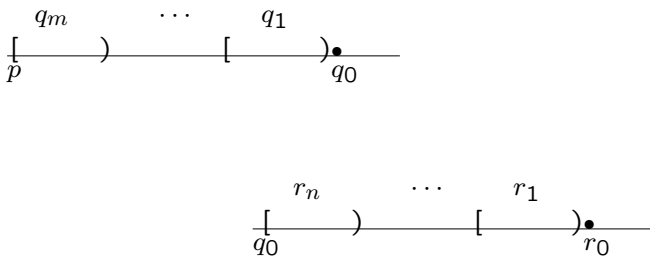
$\varphi_0 = q_0$ :  $at\_l_4$

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Concatenation of waiting-for formulas

Rule CONC-W

$$\frac{p \Rightarrow q_m \mathcal{W} \cdots q_1 \mathcal{W} q_0 \quad q_0 \Rightarrow r_n \mathcal{W} \cdots r_1 \mathcal{W} r_0}{p \Rightarrow q_m \mathcal{W} \cdots q_1 \mathcal{W} r_n \mathcal{W} \cdots r_1 \mathcal{W} r_0}$$



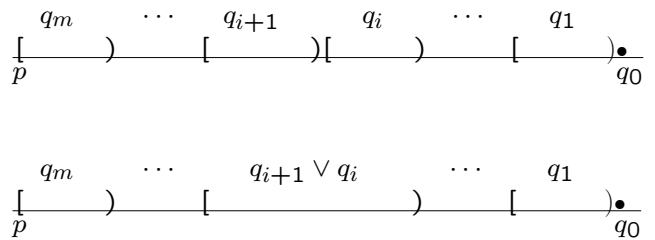
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Collapsing of waiting-for formulas

Rule COLL-W

For  $i > 0$

$$\frac{p \Rightarrow q_m \mathcal{W} \cdots \mathcal{W} q_{i+1} \mathcal{W} q_i \mathcal{W} \cdots \mathcal{W} q_0}{p \Rightarrow q_m \mathcal{W} \cdots \mathcal{W} (q_{i+1} \vee q_i) \mathcal{W} \cdots \mathcal{W} q_0}$$



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Basic Verification Diagrams

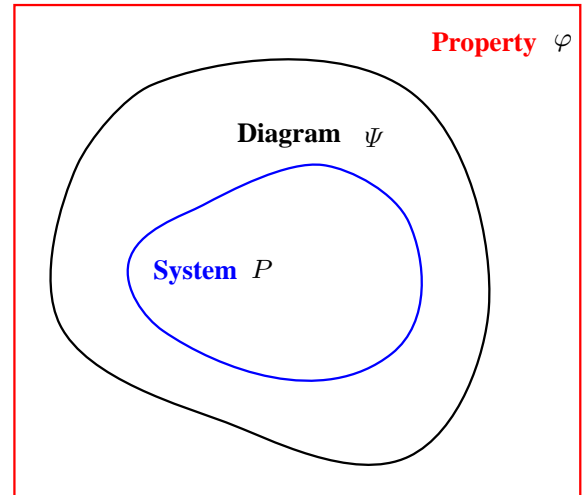
A visual summary of verification proofs

Verification Diagrams (VDs) allow a graphical representation of a proof of a temporal property.

To prove  $\varphi$  is  $P$ -valid, find diagram  $\Psi$  such that:

$$\mathcal{L}(P) \subseteq \mathcal{L}(\Psi) \subseteq \mathcal{L}(\varphi)$$

i.e., every  $P$ -computation  $\sigma$  is a  $\Psi$ -sequence and every  $\Psi$ -sequence  $\sigma$  is a model of  $\varphi$  (satisfies  $\sigma \models \varphi$ ).



$\mathcal{L}(P) \subseteq \mathcal{L}(\Psi)$  proved by verification conditions.

$\mathcal{L}(\Psi) \subseteq \mathcal{L}(\varphi)$  follows from well-formedness of diagram.

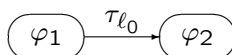
Verification Diagram (VD)

Directed labeled graph with

- Nodes – labeled by assertions



- Edges – labeled by names of transitions



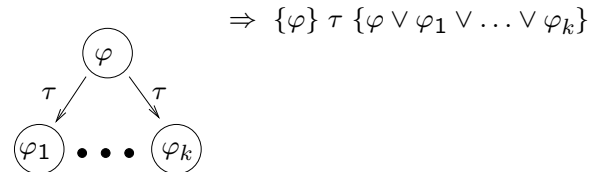
- Terminal Node (“goal”) – no edges depart from it



Verification conditions (VCs)

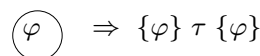
VD provides a concise representation of sets of VCs:

- The verification condition associated with a node labeled by  $\varphi$  and a transition  $\tau$  is



There is an implicit  $\tau$ -edge connecting each  $\varphi$ -node to itself.

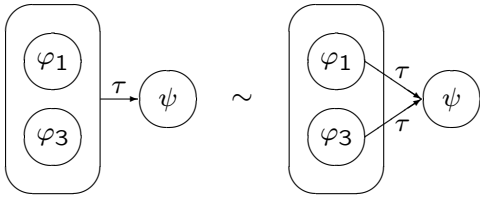
- Nonterminal node without outgoing edges



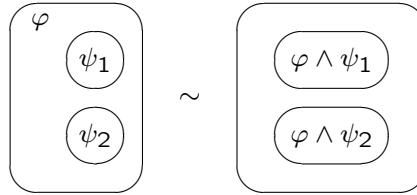
Note: No verification conditions for terminal node.

**Definition:** VD is  $P$ -valid iff all VCs associated with nodes in the diagram are  $P$ -state valid

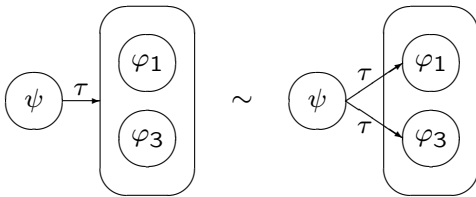
• Departing edges



• Common factors



• Arriving edges



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Classes of Diagrams

Wait Diagrams

• Proofs of invariance properties

$$\square q$$

are represented by INVARIANCE diagrams

• Proofs of precedence properties

$$p \Rightarrow q_m \mathcal{W} q_{m-1} \cdots q_1 \mathcal{W} q_0$$

are represented by WAIT diagrams

• Proofs of response properties

$$p \Rightarrow \diamond q$$

are represented by CHAIN and RANK diagrams (Vol. III)

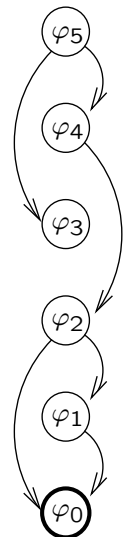
VDS with nodes  $\varphi_m, \dots, \varphi_0$  such that:

• weakly acyclic, i.e.,

$$\text{if } \varphi_i \longrightarrow \varphi_j$$

then  $i \geq j$

•  $\varphi_0$  is a terminal node



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Claim (wait diagram):

A  $P$ -valid WAIT diagram establishes that

$$\bigvee_{j=0}^m \varphi_j \Rightarrow \varphi_m \mathcal{W} \varphi_{m-1} \cdots \varphi_1 \mathcal{W} \varphi_0$$

is  $P$ -valid.

If, in addition,

$$(N1) \quad p \rightarrow \bigvee_{j=0}^m \varphi_j$$

$$(N2) \quad \varphi_i \rightarrow q_i \text{ for } i = 0, 1, \dots, m$$

are  $P$ -state valid, then

$$\boxed{p \Rightarrow q_m \mathcal{W} q_{m-1} \cdots q_1 \mathcal{W} q_0}$$

is  $P$ -valid.

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**Example: Program mux-pet1 (Fig. 3.4)**

(Peterson's Algorithm for mutual exclusion)

**local**  $y_1, y_2$ : **boolean** where  $y_1 = F, y_2 = F$   
 $s$  : **integer** where  $s = 1$

$\ell_0$  : **loop forever do**

$P_1 :: \left[ \begin{array}{l} \ell_1 : \text{noncritical} \\ \ell_2 : (y_1, s) := (T, 1) \\ \ell_3 : \text{await } (\neg y_2) \vee (s \neq 1) \\ \ell_4 : \text{critical} \\ \ell_5 : y_1 := F \end{array} \right]$

||

$m_0$  : **loop forever do**

$P_2 :: \left[ \begin{array}{l} m_1 : \text{noncritical} \\ m_2 : (y_2, s) := (T, 2) \\ m_3 : \text{await } (\neg y_1) \vee (s \neq 2) \\ m_4 : \text{critical} \\ m_5 : y_2 := F \end{array} \right]$

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**Example:** Program MUX-PET1 (Fig 3.4)

1-bounded overtaking from  $\ell_3$

$$\psi: \underbrace{at\_l_3}_p \Rightarrow \underbrace{(\neg at\_m_4)}_{q_3} \mathcal{W} \underbrace{at\_m_4}_{q_2} \mathcal{W} \underbrace{(\neg at\_m_4)}_{q_1} \mathcal{W} \underbrace{at\_l_4}_{q_0}$$

Proof is summarized in WAIT diagram

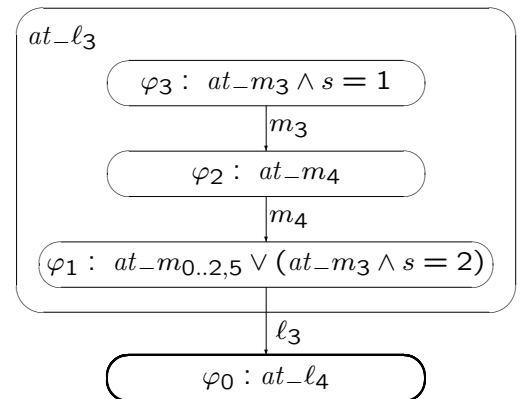
(Fig 3.8)

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**Example:** Program MUX-PET1 (Con't)

WAIT diagram (Fig. 3.8)  
(1-bounded overtaking from  $\ell_3$ )

$$\psi: \underbrace{at\_l_3}_p \Rightarrow \underbrace{(\neg at\_m_4)}_{q_3} \mathcal{W} \underbrace{at\_m_4}_{q_2} \mathcal{W} \underbrace{(\neg at\_m_4)}_{q_1} \mathcal{W} \underbrace{at\_l_4}_{q_0}$$



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$$\{\varphi_3\} \ell_3 \{\varphi_3\} \text{ holds, since}$$

$$\underbrace{at\_m_3 \wedge \dots \wedge s = 1}_{\varphi_3} \wedge \dots \wedge \underbrace{((\neg y_2) \vee (s \neq 1))}_{\rho \ell_3} \rightarrow \underbrace{\dots}_{\varphi'_3}$$

Recall that by  $\chi_2$ ,  $at\_m_3 \rightarrow y_2$ .

- From  $\varphi_2$ 

$$\{\varphi_2\} m_4 \{\varphi_2 \vee \varphi_1\}$$

$$\{\varphi_2\} \overline{m_4} \{\varphi_2\}$$
- From  $\varphi_1$ 

$$\{\varphi_1\} \ell_3 \{\varphi_1 \vee \varphi_0\}$$

$$\{\varphi_1\} \overline{\ell_3} \{\varphi_1\}$$

They are  $P$ -state valid

[not state-valid - require invariants  $\chi_0, \dots, \chi_4$ ]

Therefore,

WAIT diagram is valid over MUX-PET1

**Example:** Program MUX-PET1 (Con't)

### Associated VCs

- From  $\varphi_3$

$$\{\varphi_3\} m_3 \{\varphi_3 \vee \varphi_2\}$$

$$\underbrace{\dots}_{\varphi_3} \wedge \dots \wedge \underbrace{at'_m_4}_{\rho m_3} \rightarrow \underbrace{\dots}_{\varphi'_3} \vee \underbrace{at'_m_4}_{\varphi'_2}$$

$$\{\varphi_3\} \overline{m_3} \{\varphi_3\}$$

for all non- $m_3$  transitions.

But since we are  $at\_l_3$ ,  $at\_m_3$ , check only  $\ell_3$ .

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**Example:** Program MUX-PET1 (Con't)

Therefore,

$$\bigvee_{i=0}^3 \varphi_i \Rightarrow \varphi_3 \mathcal{W} \varphi_2 \mathcal{W} \varphi_1 \mathcal{W} \varphi_0$$

is valid over MUX-PET1.

In addition,

$$\underbrace{at\_l_3}_p \rightarrow \bigvee_{j=0}^3 \varphi_j$$

$$\varphi_0 \rightarrow \underbrace{at\_l_4}_{q_0} \quad \varphi_1 \rightarrow \underbrace{\neg at\_m_4}_{q_1}$$

$$\varphi_2 \rightarrow \underbrace{at\_m_4}_{q_2} \quad \varphi_3 \rightarrow \underbrace{\neg at\_m_4}_{q_3}$$

are  $P$ -state valid.

Therefore,

$$\psi: at\_l_3 \Rightarrow (\neg at\_m_4) \mathcal{W} at\_m_4 \mathcal{W} (\neg at\_m_4) \mathcal{W} at\_l_4$$

is valid over MUX-PET1

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### Invariance Diagrams

VDs with no terminal nodes (cycles OK)

Claim (invariance diagram):

A  $P$ -valid INVARIANCE diagram establishes that

$$\bigvee_{j=1}^m \varphi_j \Rightarrow \square \left( \bigvee_{j=1}^m \varphi_j \right)$$

is  $P$ -valid.

If, in addition,

$$(I1) \quad \Theta \rightarrow \bigvee_{j=1}^m \varphi_j$$

$$(I2) \quad \bigvee_{j=1}^m \varphi_j \rightarrow q$$

are  $P$ -state valid, then

$$\square q$$

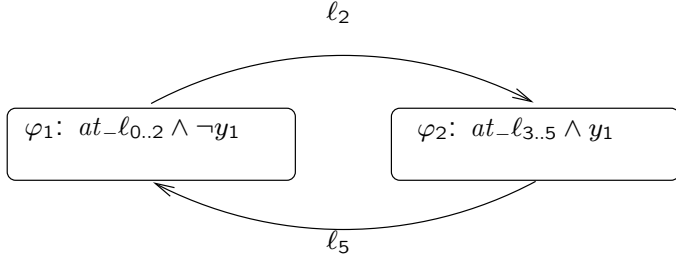
is  $P$ -valid

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**Example:** Program MUX-PET1 (Fig 3.4)

Establish  $\boxed{\underbrace{\varphi_1 \leftrightarrow at\_l_{3..5}}_q}$

INVARIANCE diagram  
valid for program MUX-PET1



because

$$\begin{aligned} \{\varphi_1\} l_2 \{\varphi_1 \vee \varphi_2\} & \quad \{\varphi_1\} \bar{l}_2 \{\varphi_1\} \\ \{\varphi_2\} l_5 \{\varphi_2 \vee \varphi_1\} & \quad \{\varphi_2\} \bar{l}_5 \{\varphi_2\} \end{aligned}$$

Thus

$$\varphi_1 \vee \varphi_2 \Rightarrow \boxed{\varphi_1 \vee \varphi_2}$$

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Also,

$$(I1) \underbrace{at\_l_0 \wedge \neg y_1 \wedge \dots}_{\Theta} \rightarrow \underbrace{at\_l_{0..2} \wedge \neg y_1}_{\varphi_1} \vee \underbrace{\dots}_{\varphi_2}$$

$$(I2) \underbrace{at\_l_{0..2} \wedge \neg y_1}_{\varphi_1} \vee \underbrace{at\_l_{3..5} \wedge y_1}_{\varphi_2} \rightarrow \underbrace{y_1 \leftrightarrow at\_l_{3..5}}_q$$

are state-valid

Therefore

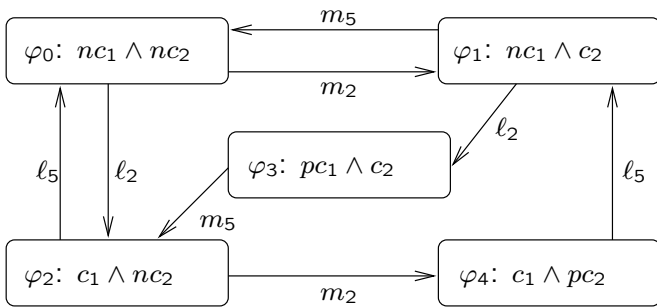
$$\boxed{\underbrace{\varphi_1 \leftrightarrow at\_l_{3..5}}_q}$$

is  $P$ -valid.

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**Example:** Program MUX-PET1 (Fig. 3.4)

Establish  $\boxed{\neg(at\_l_4 \wedge at\_m_4)}$



non-critical:  $nc_1: at\_l_{0..2}$   
 $nc_2: at\_m_{0..2}$

critical:  $c_1: at\_l_{3..5} \wedge \neg y_2$   
 $c_2: at\_m_{3..5} \wedge \neg y_1$

pre-critical:  $pc_1: at\_l_3 \wedge s = 1 \wedge y_2$   
 $pc_2: at\_m_3 \wedge s = 2 \wedge y_1$

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