

Decision Procedures for Queues with Integer Constraints

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Introduction



What is a Decision Procedure?

An algorithm that checks whether a formula is valid in a given decidable theory.



Always terminates with either a positive or a negative answer.

Relieve users from tedious interaction with theorem prover.

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Decision procedures exist for specific theories

- Arithmetic: integers, reals, . . . ,
- Data types: lists, queues, arrays, sets, multisets, . . . ,
- Algebraic structures: linear dense orders . . . ,

But

- programming languages involve multiple theories.
- verification conditions do not belong to a single theory.

☞ We need to reason about *mixed* constraints from multiple theories.



What is Combining Decision Procedure?

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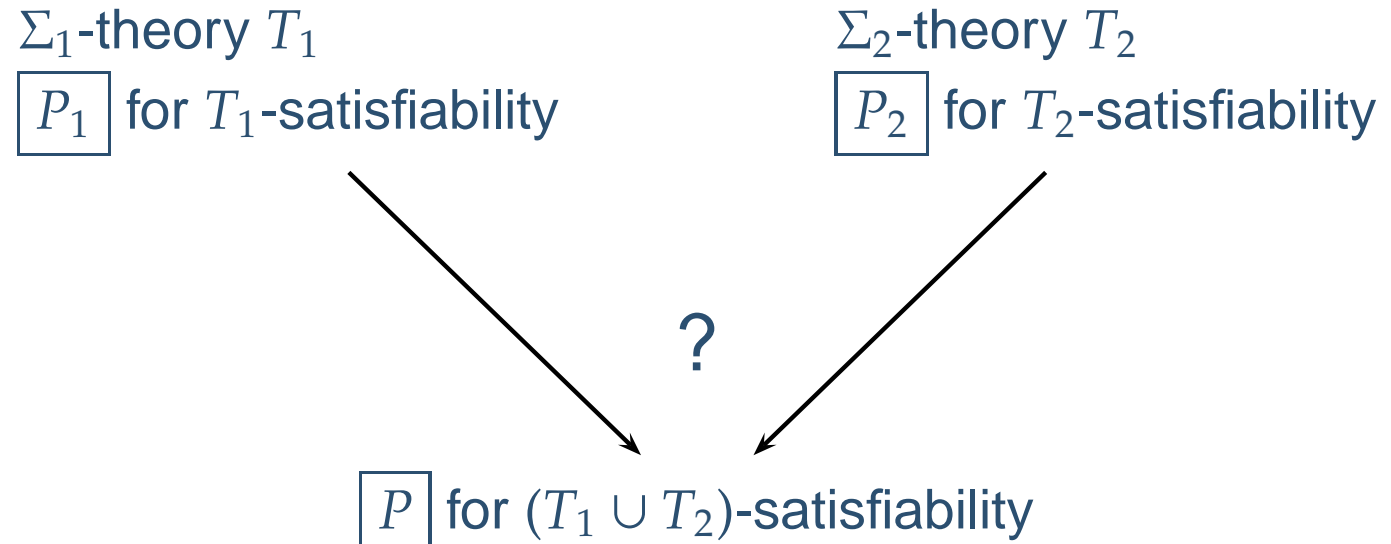
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General Framework:

Nelson-Oppen Combination Method [NO79]

Recent Advances:

- Non-disjoint Signature.

Tinelli and Ringeissen [TR03]

- Model-theoretic.

Ghilardi [Ghi05]

- Proof-theoretic.

Zarba [Zar02]

Armando, Ranise and Rusinowitch [ARR01]



Limitation

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- All existing combination techniques impose severe restrictions on the theories to be combined.
- None of the techniques is applicable to multi-sorted theories with functions connecting the different sorts.

☞ Logic theories are *fragile*.

- Nelson-Oppen combination should be viewed as exceptional.
- Why should modular combinations always exist?
- Concentrate on concrete problems instead of looking for grand scheme.



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- Integration of recursive data structures with integers
 - ◆ Term algebras (tree-like objects) + integers
[ZSM04a] IJCAR04, [ZSM04b] TPHOLs04,
 - ◆ **Queues (linear objects)+ integers**
- Why? To automatically decide the validity of verification conditions arising in the analysis of any property involving data structures and size, for example
 - ◆ buffer overflows
 - ◆ array out of bounds
 - ◆ memory overflow
 - ◆ . . .



Our Approach

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Exploit the algebraic properties of constituting theories.

■ For quantifier-free combinations:

Extract exact integer constraints **induced** by constraints of data types.

■ For quantified combinations:

Reduce quantifiers on data types to quantifiers on integers.

☞ Reduce theories of data domain to the theory of integer domain.



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- Quantifier-free theory.
Bjørner [Bjø98]
- Quantified theory.
Rybina and Voronkov [RV00] [RV03]
- With Prefix Relation.
Benedikt, Libkin, Schwentick and Segoufin [BLSS01]
- WS1S with cardinality constraints.
Klaedtke and Ruess [KR03]



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Queues: Language, Structures and Properties



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$\mathcal{Q} : \langle Q, \mathcal{A}, C, S \rangle :$

1. \mathcal{A} : Constants: a, b, c, \dots
2. Q : Sequences of constants. ϵ_Q : the empty queue.
3. C : Constructors:

Left Insertion $la : \mathcal{A} \times Q \rightarrow Q$

Right Insertion $ra : \mathcal{A} \times Q \rightarrow Q$, s.t.

$$la(a, \epsilon_Q) = ra(a, \epsilon_Q) = \langle a \rangle,$$

$$la(a, \langle s_1, \dots, s_n \rangle) = \langle a, s_1, \dots, s_n \rangle,$$

$$ra(a, \langle s_1, \dots, s_n \rangle) = \langle s_1, \dots, s_n, a \rangle.$$



Queues (2)

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4 \mathcal{S} : Selectors:

Left Head $lh : Q \rightarrow \mathcal{A}$, **Left Tail** $lt : Q \rightarrow Q$,

Right Head $rh : Q \rightarrow \mathcal{A}$, **Right Tail** $rt : Q \rightarrow Q$, s.t.

$$lh(\langle s_1, \dots, s_n \rangle) = s_1,$$

$$lt(\langle s_1, \dots, s_n \rangle) = \langle s_2, \dots, s_n \rangle,$$

$$rh(\langle s_1, \dots, s_n \rangle) = s_n,$$

$$rt(\langle s_1, \dots, s_n \rangle) = \langle s_1, \dots, s_{n-1} \rangle.$$

Convention: use **concatenation operator** \circ .

$a \circ X \circ b$ stands for $ra(b, la(a, X))$ or $la(a, ra(b, X))$.



Orbit

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$\text{orb}(\beta)$ is the *orbit* of all words of the form $\beta^* \beta[1..i]$ ($i < |\beta|$) and $\text{orb}(\beta, k)$ is the subtrack of $\text{orb}(\beta)$ ending with $\beta[1..k]$.

$$\text{ext}(\beta, m, k) \triangleq \beta^m \beta[1..k],$$

$$\text{orb}(\beta, k) \triangleq \{ \text{ext}(\beta, m, k) \mid m \geq 0 \}$$

$$\text{orb}(\beta) \triangleq \bigcup_{k \geq 0} \text{orb}(\beta, k).$$

Example:

$$\text{ext}(aba, 1, 2) = abaab,$$

$$\text{orb}(aba, 0) = (aba)^*,$$

$$\text{orb}(aba, 1) = (aba)^* a,$$

$$\text{orb}(aba, 2) = (aba)^* ab.$$



Primitive Words

A word β is *primitive* if $\beta \neq \alpha^n$ ($n \geq 1$) for any proper prefix α of β , and is *strongly primitive* if in addition $\beta \notin \text{orb}(\alpha)$.

Example:

abab non-primitive

aba primitive

abb strongly-primitive

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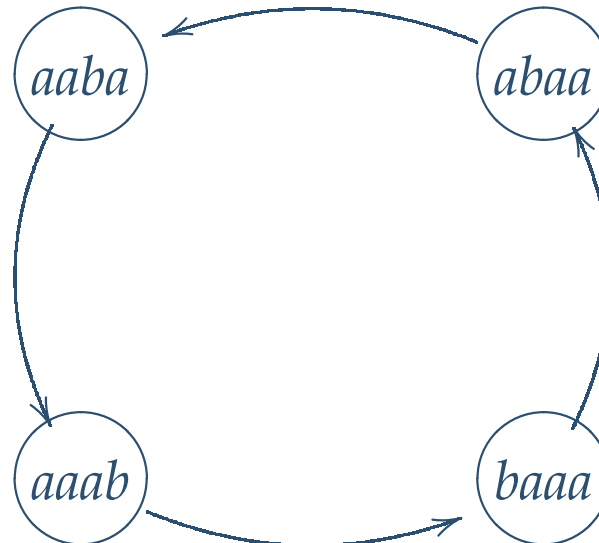


Conjugacy (1)

Two words α, β are **conjugate** if there exist words u, v ($v \neq \epsilon_Q$) such that $\alpha = uv$ and $\beta = vu$.

In other words, α is obtained from β by circular shift, and vice versa. We say that α is **k -conjugate** with β if $|u| = k$.

Example:



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Existence:

Two words α and β are conjugate if and only if

$$(\exists \gamma) \alpha \gamma = \gamma \beta.$$

Moreover, α and β are k -conjugate if and only if

$$(\forall \gamma) [\alpha \gamma = \gamma \beta \leftrightarrow \gamma \in \text{orb}(\alpha, k)].$$

If $\alpha X = X \beta$ has a solution, then

$$\exists u_1, u_2 [\alpha = u_1 u_2 \wedge \beta = u_2 u_1],$$

and all solutions are of the form

$$(u_1 u_2)^* u_1.$$



Conjugacy (3)

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Mutual Exclusion:

A conjunction of literals of the form

$$\bigwedge_{i=1}^m X \in \text{orb}(\alpha_i) \wedge \bigwedge_{j=1}^n X \notin \text{orb}(\beta_j)$$

can be simplified such that

$$m = 0 \vee (m = 1 \wedge n = 0).$$

Example:

$$X \in \text{orb}(ab) \wedge X \in \text{orb}(aba) \mapsto X \in \{a, ab, aba\},$$

$$X \in \text{orb}(ab) \wedge X \notin \text{orb}(aba) \mapsto X \in \text{orb}(ab) \wedge X \notin \{a, ab, aba\}.$$



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Decision Procedure for Queues (Bjørner)

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Input: $\Phi \equiv \mathcal{E} \cup \mathcal{D}$.

1. Normalize Φ to $\Phi' : \mathcal{E}' \cup \mathcal{D}'$.

2. Return **FAIL**, if inconsistency is discovered;

Return **SUCCESS**.



Normal Form in \mathcal{Q}

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A queue constraint $\Phi_{\mathcal{Q}}$ is in *normal form* if

- all equalities are in triangular form,
- for each X there exists at most one literal $X \in \text{orb}(\alpha, k)$,
- if $X \in \text{orb}(\alpha, k)$ occurs, then no $X \notin \text{orb}(\alpha', k')$ occurs, and
- disequalities are in the form $\alpha X \neq Y\beta$ for $X \neq Y$.

Example:

$$\checkmark \quad Y = bZ \wedge Z = ba \wedge X \in \text{orb}(ab, 1) \wedge X \neq Yab$$

$$\times \quad Y = bZ \wedge Z = ba \wedge X \in \text{orb}(ab, 1) \wedge X \notin \text{orb}(aba, 0)$$

$$\times \quad Y = bZ \wedge Z = ba \wedge X \in \text{orb}(ab, 1) \wedge X \in \text{orb}(ba, 1)$$

$$\times \quad Y = bZ \wedge Z = ba \wedge X \in \text{orb}(ab, 1) \wedge Xa \neq Yab$$



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Presburger arithmetic (PA): $\mathcal{L}_{\mathbb{Z}}$, PA.

Two-sorted language $\Sigma = \Sigma_Q \cup \Sigma_{\mathbb{Z}} \cup \{|\cdot|\}$:

1. Σ_Q : signature of queues.
2. $\Sigma_{\mathbb{Z}}$: signature of Presburger arithmetic.
3. $|\cdot| : \mathcal{Q} \rightarrow \mathbb{N}$, the length function.



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The presence of $\Phi_{\mathbb{Z}}$ restricts solutions to Φ_Q .

Example: Suppose $\mathcal{A} = \{a, b\}$. Then

$$\Phi_Q : Xba \neq abY \wedge Xab \neq baY \wedge Xaa \neq baY \wedge Xab \neq aaY$$

is not satisfiable with $\Phi_{\mathbb{Z}} : |X| = |Y| = 1$.



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is not satisfiable with $\Phi_{\mathbb{Z}} : |X| = |Y| = 1$.

Compute an *accurate length constraint!*



Length Constraint Completion (LCC)

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A formula $\Phi_\Delta(\bar{X})$ is an **LCC** for $\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X})$, if the following formulae are valid:

$$\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X}) \rightarrow (\exists \bar{z} : \mathbb{Z}) (\Phi_\Delta(\bar{z}) \wedge |\bar{X}| = \bar{z}),$$

$$\Phi_\Delta(\bar{z}) \rightarrow (\exists \bar{X} : \mathcal{Q}) (\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X}) \wedge |\bar{X}| = \bar{z}).$$

Informally,

$$\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X}) \text{ “} \leftrightarrow \text{” } \Phi_\Delta(\bar{X})$$

☞ $\Phi_\Delta(\bar{X})$ fully characterizes $\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X})$.

☞ We reduce the combined constraint to the integer domain!



LCC (2)

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Let $\Phi_{\Delta+}$ be the formula that (when in place of Φ_{Δ}) satisfies

$$\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X}) \rightarrow (\exists \bar{z} : \mathbb{Z}) (\Phi_{\Delta}(\bar{z}) \wedge |\bar{X}| = \bar{z}).$$

$\Phi_{\Delta+}$ is *sound*:

$|\cdot|$ maps a satisfying σ_Q in Q to a satisfying σ_Z in PA.

Let $\Phi_{\Delta-}$ be the formula that (when in place of Φ_{Δ}) satisfies

$$\Phi_{\Delta}(\bar{z}) \rightarrow (\exists \bar{X} : Q) (\Phi_Q(\bar{X}) \wedge \Phi_Z(\bar{X}) \wedge |\bar{X}| = \bar{z})$$

$\Phi_{\Delta-}$ is *complete*:

any satisfying σ_Z in PA is an image under $|\cdot|$ of a satisfying σ_Q in Q .



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Identify constraints with the corresponding solution set.

$\Phi_{\Delta+}$ is an *over-approximation* of Φ_{Δ} :

$$\Phi_{\Delta} \subseteq \Phi_{\Delta+}$$

$\Phi_{\Delta-}$ is an *under-approximation* of Φ_{Δ} :

$$\Phi_{\Delta-} \subseteq \Phi_{\Delta}$$

Φ_{Δ} is *unique* up to equivalence:

$$\Phi_{\Delta'} \subseteq \Phi_{\Delta} \subseteq \Phi_{\Delta'}$$



Example: LCC

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$$\Phi_Q : Xba \neq abY \wedge Xab \neq baY \wedge Xaa \neq baY \wedge Xab \neq aaY$$

$$\Phi_Z : |X| = |Y|$$

$$\Phi_{\Delta+} : |X| \geq 0 \wedge |X| = |Y|$$

$$\Phi_{\Delta-} : |X| = 3 \wedge |X| = |Y|$$

$$\Phi_{\Delta} : |X| \neq 1 \wedge |X| = |Y|$$



Main Theorem

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Given $\Phi_Q \wedge \Phi_Z$.

Let Φ_Δ be an LCC for $\Phi_Q \wedge \Phi_Z$. Then

$$\mathcal{Q}_Z \models_{\exists} \Phi_Q \wedge \Phi_Z \iff \mathcal{Q} \models_{\exists} \Phi_Q \ \& \ \text{PA} \models_{\exists} \Phi_\Delta.$$

Decision Problem \mapsto Computation of LCC.



Generic Decision Procedure

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Input: $\Phi_Q \wedge \Phi_Z$.

1. Return **FAIL** if $\mathcal{Q} \not\models \exists \Phi_Q$.
2. For each partition $\Phi_Q^{(i)} \wedge \Phi_Z^{(i)}$ of $\Phi_Q \wedge \Phi_Z$:
 - (a) Compute an LCC $\Phi_{\Delta}^{(i)}$ for $\Phi_Q^{(i)} \wedge \Phi_Z^{(i)}$.
 - (b) Return **SUCCESS** if $\text{PA} \models \exists \Phi_{\Delta}^{(i)}$.
3. Return **FAIL**.

How to compute LCC?



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We cannot partition terms into *stratified clusters* and construct a satisfying assignment inductively.

Example: Consider

$$X \neq Y \wedge aX \neq Yb \wedge Xa \neq bY$$

Infinitely many assignments of the form

$$X = (ba)^n b, \quad Y = a(ba)^n$$

satisfy $X \neq Y$, but neither $aX \neq Yb$ nor $Xa \neq bY$.



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satisfy $X \neq Y$, but neither $aX \neq Yb$ nor $Xa \neq bY$.

Find a *cut length*!



Cut Length

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Thank You!

1. Φ_Q can be satisfied by sufficiently long queues.
2. There exists a **cut length** δ such that any solution $(l_i)_n$ with $l_i \geq \delta$ is realizable.
3. But δ is not the smallest $\max\{(\mu_i)_n\}$ such that

$$\mathcal{Q}_{\mathbb{Z}} \models \exists \Phi_Q \wedge \bigwedge_{i=1}^n |X_i| = \mu_i$$

Example: $\{X := \epsilon_Q, Y := \epsilon_Q\}$ is a solution for

$$Xba \neq abY \wedge Xab \neq baY \wedge Xaa \neq baY \wedge Xab \neq aaY$$

while there is no solution σ such that $|\sigma(X)| = |\sigma(Y)| = 1$.



Computation of Cut Length

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Thank You!

PRE_{Φ} : the set of all words α s.t. αX or α is a proper term in Φ_Q .

d_{Φ} : the shortest strongly primitive word d such that

$$(\forall \alpha \in \text{PRE}_{\Phi}) d \notin \text{orb}(\alpha).$$

L_d : the length of d_{Φ} .

L_c : the smallest number of letters to create a unique identifying word, called a *color*, for each queue variable in Φ_Q .

$$L_t: L_c + L_d.$$

We claim that $L_t \geq \delta$.



Example

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Thank You!

Consider

$$Xba \neq abY \wedge Xab \neq baY \wedge Xaa \neq baY \wedge Xab \neq aaY$$

Then

1. $\text{PRE}_{\Phi} = \{ab, ba, aa\}$.
2. $L_d = 3$; $d_{\Phi} = aab$.
3. $L_c = 1$; Φ_Q includes two queue variables.

So $L_t = L_c + L_d = 4$.



Computation of LCC

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Thank You!

Input: $\Phi_Q \wedge \Phi_Z$ in normal form of $\mathcal{Q}_{\mathbb{Z}}$.

Initially set $\Phi_{\Delta} = \Phi_Z$. Add to Φ_{Δ} :

- $|t_1| = |t_2|$, if $t_1 \neq t_2$ or $t_1 = t_2$;
- $|X| + |\alpha| = |\alpha X| = |X\alpha|$, if αX or $X\alpha$ occurs;
- $|X| \equiv k \pmod{|\alpha|}$, if $X \in \text{orb}(\alpha, k)$.
- $|X| = i$ (for some $i < L_t$) or $|X| \geq L_t$ for each X in Φ_Q .



Normal Form in $\mathcal{Q}_{\mathbb{Z}}$

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Thank You!

Φ_Q is in *normal form* in $\mathcal{Q}_{\mathbb{Z}}$ if

1. Φ_Q is in normal form in Q ;
2. Φ_Q is equality complete;
3. if $\alpha X \neq Y\beta$ occurs with either $X \in \text{orb}(\alpha', k)$ or $Y \in \text{orb}(\beta', l)$, then $\alpha \equiv \epsilon_Q$;
4. $\alpha X \neq Y\beta$ does not occur with both $X \in \text{orb}(\alpha', k)$ and $Y \in \text{orb}(\beta', l)$.

Φ_Q is *equality complete* if

$t_1 \neq t_2 \in \Phi_Q$ precisely when $|t_1| = |t_2| \in \Phi_{\mathbb{Z}}$.



Quantifier Elimination for Queues with Integers

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Thank You!

New Normalization. To deal with parameters \bar{Y} .

Blockwise Elimination. Remove a block of quantifiers in one step.

$$(\exists x_1, \dots, \exists x_n) \Phi(x_1, \dots, x_n, y_1, \dots, y_m) \mapsto \Phi'(y_1, \dots, y_m)$$



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● **Conclusion**

● Future Work (1)

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Decision procedures for the combination of queues with integer constraints

- Express memory safety property.
- Essential for practical program verification.

Exploit algebraic properties of concrete domains.



Future Work (1)

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● **Future Work (1)**

● Future Work (2)

Thank You!

- Implementation and experimentation.
- More expressive languages.
 - ◆ Term algebras with subterm relation
 - ◆ Queues with subsequence relations, namely, **prefix** \leq_p , **subqueue** \leq and **suffix** \leq_s



Future Work (1)

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Thank You!

- Implementation and experimentation.
- More expressive languages.
 - ◆ Term algebras with subterm relation
 - ◆ Queues with subsequence relations, namely, **prefix** \leq_p , **subqueue** \leq and **suffix** \leq_s

With our decision procedures for

$$\mathcal{Q}_{\mathbb{Z}^+} \leq_p + \leq \quad \text{and} \quad \mathcal{Q}_{\mathbb{Z}^+} \leq_s + \leq,$$

the next step is $\boxed{\mathcal{Q}_{\mathbb{Z}^+} \leq_p + \leq_s}$!



Future Work (2)

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Thank You!

$\mathcal{Q}_{\mathbb{Z}^+ \leq_p + \leq_s}$ is a very expressive theory.

1. Equivalent to the theory of concatenation with integers.
(Open problem since 80's, Büchi and Senger [BS88])

$$uv^2 = vu v \wedge |u| < |v|$$

2. Interpret the theory of arrays.

$$q[i] = a \leftrightarrow \exists p (pa \leq_p q \wedge |pa| = i)$$

3. Interpret Presburger arithmetic with divisibility predicate.

$$x = y + 2 \wedge y | x$$

4. Augmentable to theory of unbounded bit-vectors.

$$u \oplus v = w \wedge uv = ww$$



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Normalization in \mathcal{Q} (1)

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● Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (5)

Input $\Phi_Q : \mathcal{E} \cup \mathcal{D}$.

1. Reduce literals of the form $\alpha X \beta = \alpha' \gamma \beta'$, $\alpha X \beta \neq \alpha' \gamma \beta'$.

Example:

$$abXcd = abcYdd \mapsto \text{false},$$

$$abXcd \neq abcYd \mapsto Xc \neq cY.$$

2. Eliminate equalities of the form $\alpha X = \gamma \beta$ with $X \neq \gamma$.

For $|X| < |\beta|$,

$$\alpha X = \gamma \beta \mapsto X = \beta[|\beta| - |X| + 1..|\beta|] \wedge \gamma = \alpha\beta[1..|\beta| - |X|].$$

For $|X| \geq |\beta|$,

$$\alpha X = \gamma \beta \mapsto X = X'\beta \wedge \gamma = \alpha X'.$$



Normalization in \mathcal{Q} (2)

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● Normalization in \mathcal{Q}_Z (5)

3 Eliminate equalities of the form $\alpha X = X\beta$.

If α, β are not conjugate,

$$\alpha X = X\beta \mapsto \text{false.}$$

If α, β are k -conjugate,

$$\alpha X = X\beta \mapsto X \in \text{orb}(\alpha, k).$$

4 Eliminate disequalities of the form $\alpha X \neq X\beta$.

If α, β are not conjugate,

$$\alpha X \neq X\beta \mapsto \text{true}$$

If α, β are k -conjugate,

$$\alpha X \neq X\beta \mapsto X \notin \text{orb}(\alpha, k).$$



Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (1)

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- Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (5)

- 1 Normalize Φ_Q as in \mathcal{Q} .
- 2 For all disequalities $\alpha X \neq \gamma \beta$ with $|X| < |\beta|$ or $|\gamma| < |\alpha|$, replace X and γ by instantiations.

In the remaining steps, we assume $|X| \geq |\beta|$ and $|\gamma| \geq |\alpha|$.



Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (2)

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- Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (5)

3 Consider each subconstraint of the form

$$\alpha X \neq Y\beta \wedge X \in \text{orb}(\alpha', k) \wedge Y \in \text{orb}(\beta', l). \quad (1)$$

Assuming β is a suffix of X and α is a prefix of Y ,

$$\alpha X \neq Y\beta \mapsto X' \in \text{orb}(\alpha', k') \wedge Y' \in \text{orb}(\beta'', l') \wedge X' \neq Y',$$

where

$$k' = (k + |\alpha'| - (|\beta| \bmod |\alpha'|)) \bmod |\alpha'|,$$

$$\beta'' = \beta'[(|\alpha| \bmod |\beta'|) + 1..|\beta'|] \circ \beta'[1..(|\alpha| \bmod |\beta'|)],$$

$$l' = (l + |\beta'| - (|\alpha| \bmod |\beta'|)) \bmod |\beta'|.$$

If $\alpha' = \beta''$, then (1) is false.

If $\alpha' \neq \beta''$, then (1) reduces to a finite set of solutions.



Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (3)

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Example 1: Consider

$$abX \neq Yba \wedge X \in \text{orb}(ab, 1) \wedge Y \in \text{orb}(ab, 1).$$

$$\begin{aligned} abX \neq Yba &\mapsto X' \in \text{orb}(ab, 1) \wedge Y' \in \text{orb}(ab, 1) \wedge X' \neq Y', \\ &\mapsto \text{false}. \end{aligned}$$

Example 2: Consider

$$abX \neq Yba \wedge X \in \text{orb}(abb, 1) \wedge Y \in \text{orb}(ab, 0).$$

$$\begin{aligned} abX \neq Yba &\mapsto X' \in \text{orb}(abb, 2) \wedge Y' \in \text{orb}(ab, 0) \wedge X' \neq Y', \\ &\mapsto X' \neq ab \vee Y' \neq ab \\ &\mapsto X \neq abba \vee Y \neq abab. \end{aligned}$$



Normalization in $\mathfrak{Q}_{\mathbb{Z}}$ (4)

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4 Consider each subconstraint of the form

$$\alpha X \neq Y\beta \wedge X \in \text{orb}(\alpha', k).$$

Guess a word α'' such that $|\alpha''| = |\alpha|$.

If $\alpha'' \equiv \alpha$,

$$\alpha X \neq Y\beta \mapsto Y = \alpha''Y'$$

Otherwise,

$$\alpha X \neq Y\beta \mapsto Y = \alpha Y' \wedge X \neq Y'\beta.$$



Normalization in $\mathcal{Q}_{\mathbb{Z}}$ (5)

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5 Consider each subconstraint of the form

$$\alpha X \neq Y\beta \wedge Y \in \text{orb}(\beta', l).$$

Assuming α is a prefix of Y ,

$$\alpha X \neq Y\beta \mapsto Y' \in \text{orb}(\beta'', l') \wedge X \neq Y'\beta,$$

where

$$\beta'' = \beta' [(|\alpha| \bmod |\beta'|) + 1..|\beta'|] \circ \beta' [1..(|\alpha| \bmod |\beta'|)],$$

$$l' = (l + |\beta'| - (|\alpha| \bmod |\beta'|)) \bmod |\beta'|.$$

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